



动态规划

Dynamic Programming

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The slides are mainly adapted from the original ones shared by Chaodong Zheng and Kevin Wayne. Thanks for their supports!



Problem Solving Strategies

- Divide and Conquer
 - ▶ Divide (reduce) the problem into one or more subproblems;
 - ▶ Recursively solve subproblems;
 - ▶ Combine partial solutions to obtain complete solution.
 - ▶ **Example:** merge-sort, quick-sort, binary-search, ...
- Greedy
 - ▶ Gradually generate a solution for the problem;
 - ▶ At each step: make an greedy choice, then compute optimal solution of the subproblem induced by the choice made.
 - ▶ **Example:** MST, Dijkstra, Huffman codes, ...

What if a problem does not exhibit greedy choice property?

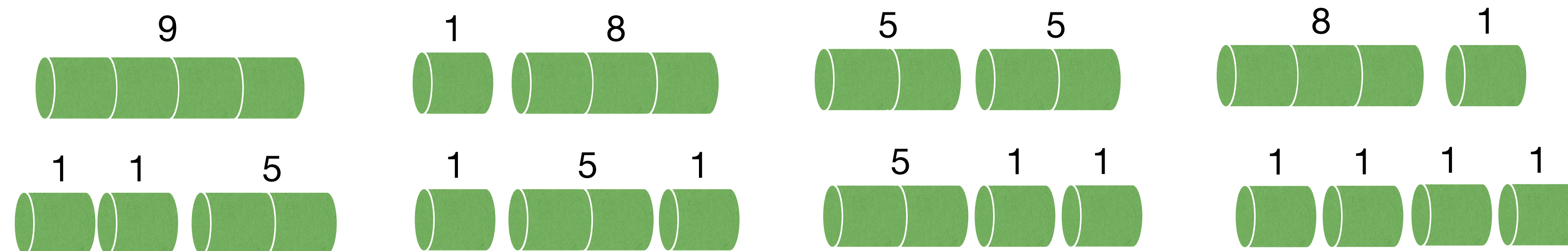


The Rod-Cutting Problem

- Assume we are given a rod of length n . We sell length i rod for a price of p_i , where $i \in \mathbb{N}^+$ and $1 \leq i \leq n$.

i	1	2	3	4	5	6	7	8	9	10
p_i	1	5	8	9	10	17	17	20	24	30

- How to cut the rod to gain maximum revenue?
- Enumerate all possibilities?
 - There are 2^{n-1} ways to cut up a length n rod...



8 possible ways of cutting up a rod of length 4 and their prices



The Rod-Cutting Problem

- Greedy algorithm?
- Let r_k denote max profit for a length k rod.
- Optimal substructure property:

$$\triangleright r_n = \max_{1 \leq i \leq n} (p_i + r_{n-i})$$

- Greedy choice property?

▶ Always cut at the most profitable position? ($\max(\frac{p_i}{i})$)

- Unfortunately, it does **NOT** yield optimal solution! ($n = 3, p_1 = 1, p_2 = 7, p_3 = 9$)



The Rod-Cutting Problem

A simple recursive algorithm

- Let r_k denote max profit for a length k rod.
- Optimal substructure property holds.

$$(r_n = \max_{1 \leq i \leq n} (p_i + r_{n-i}))$$
- Optimal substructure property already implies an algorithm! (even though without greedy choice property)
 - At each step, enumerate all possible cut.
 - For each cut, (recursively) find optimal solution. (Find all r_{n-i})
 - Find optimal solution for original problem. (Find $\max_{1 \leq i \leq n} (p_i + r_{n-i})$)

CutRodRec(prices,n):

if $n = 0$

return 0

$r := -INF$

for $i := 1$ **to** n

$r := \text{Max}(r, \text{prices}[i] + \text{CutRodRec}(\text{prices}, n-i))$

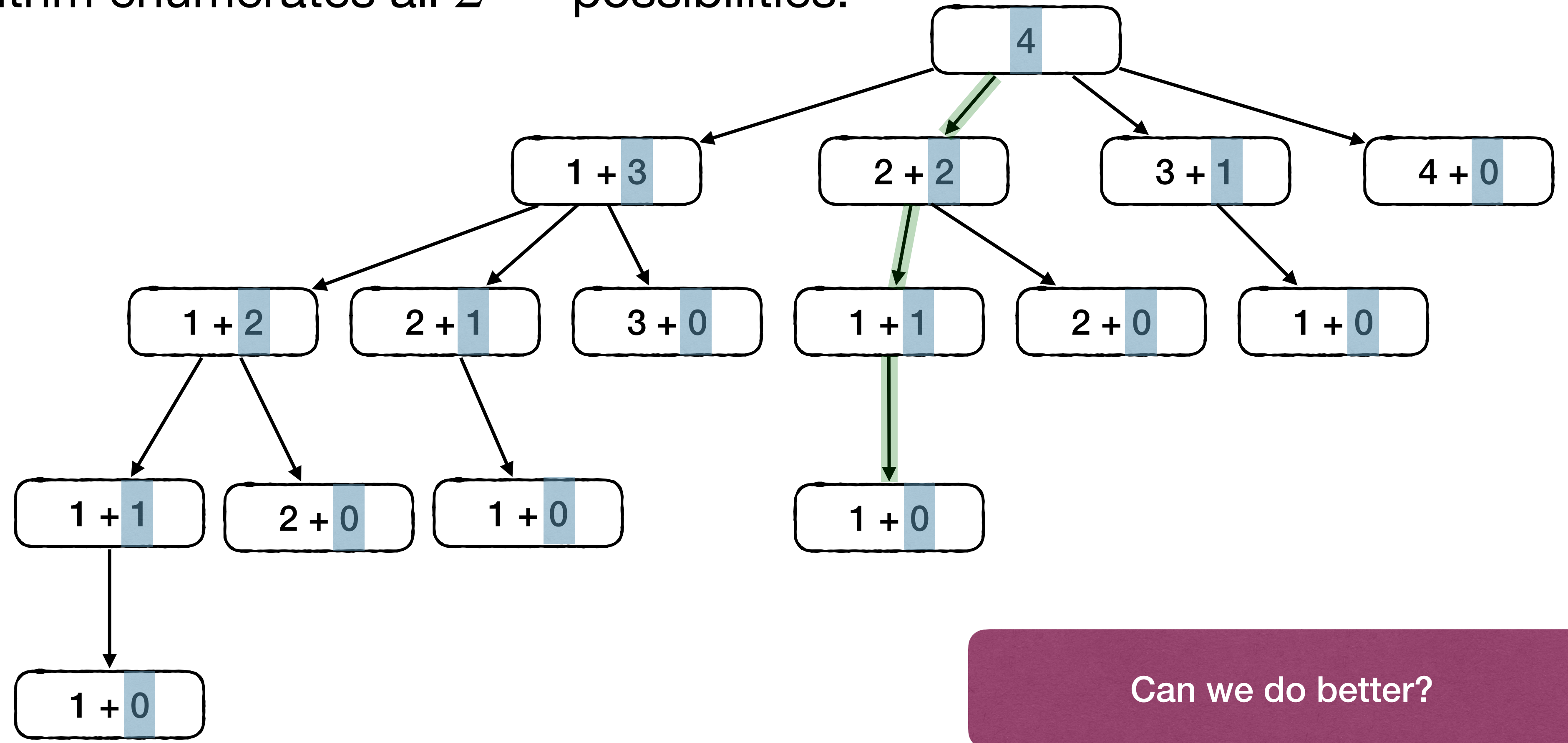
return r

Performance of this algorithm?



The Rod-Cutting Problem

- Each path from root to a leaf denotes a way to cut the rod.
- This algorithm enumerates all 2^{n-1} possibilities!

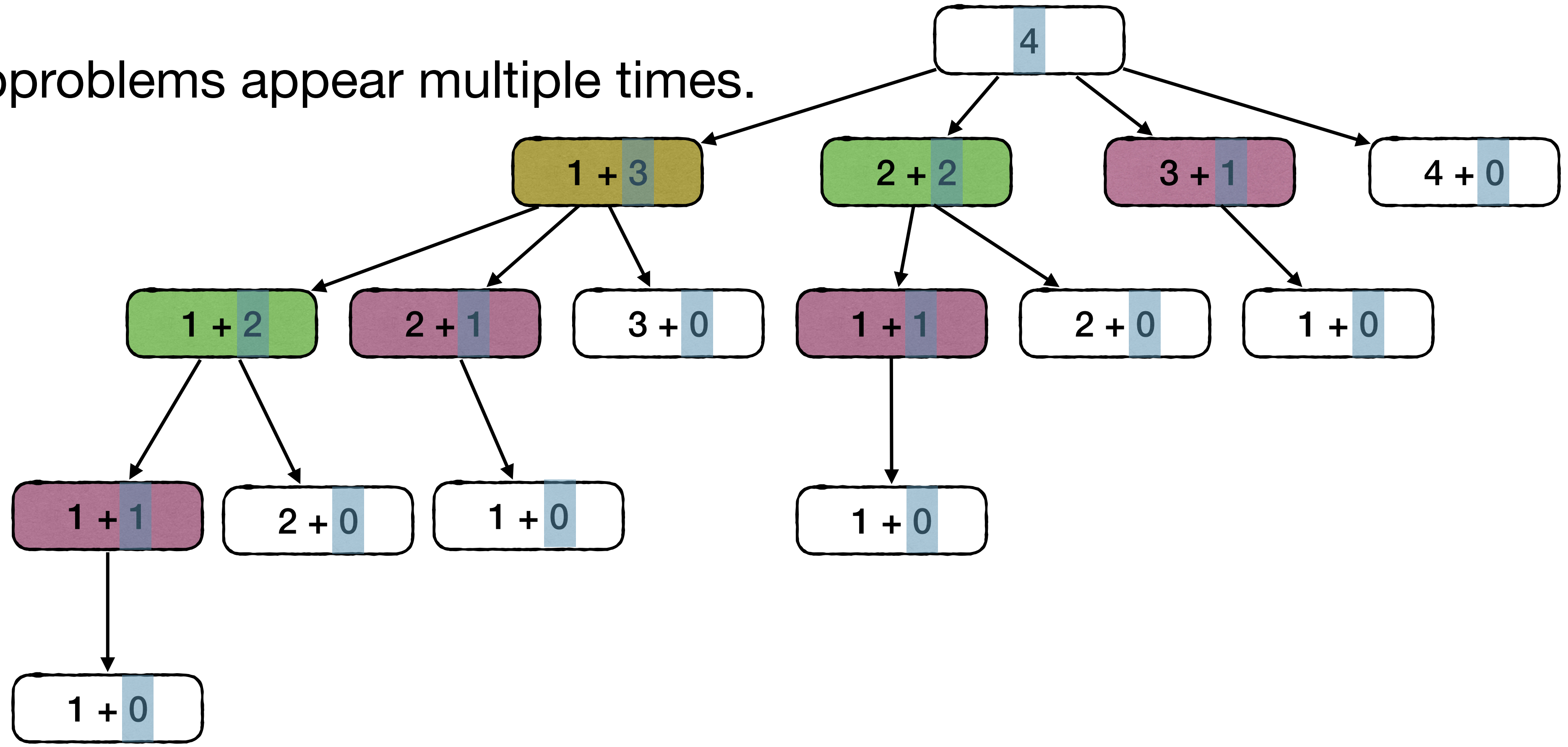


Can we do better?



The Rod-Cutting Problem

- For each subproblem, only need to solve it once!
- Each node denotes a subproblem of certain size
- Some subproblems appear multiple times.





The Rod-Cutting Problem

- Solve each subproblem once and remember solution!

CutRodRecMem(prices,n):

for $i := 0$ **to** n

$r[i] := -INF$

return $CutRodRecMemAux(prices, r, n)$

CutRodRecMemAux(prices,r,n):

if $r[n] > 0$

return $r[n]$

if $n = 0$

$q := 0$

else

$q := -INF$

for $i := 1$ **to** n

$q := \text{Max}(q, \text{prices}[i] + \text{CutRodRecMemAux}(\text{prices}, r, n-i))$

$r[n] := q$

return q

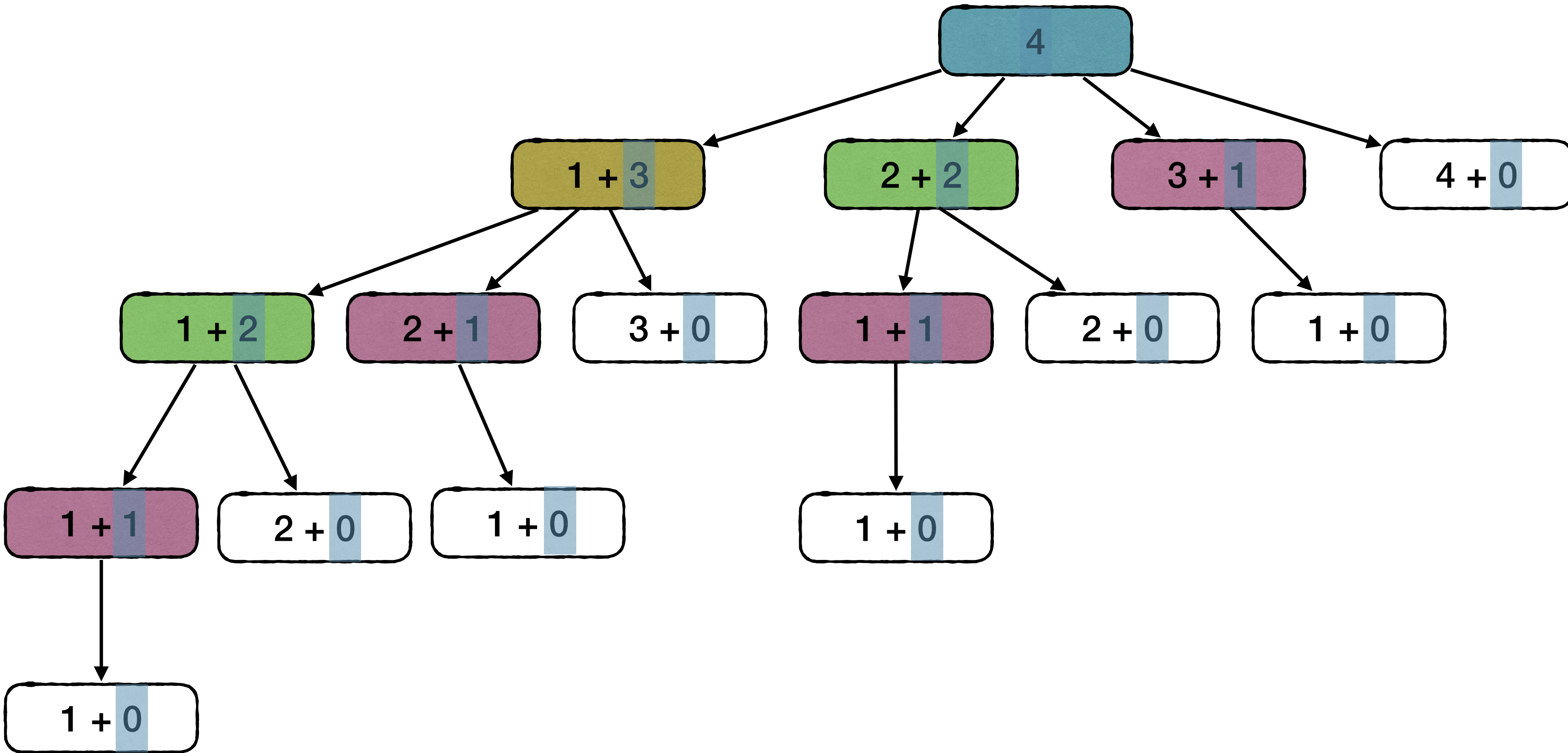


The Rod-Cutting Problem

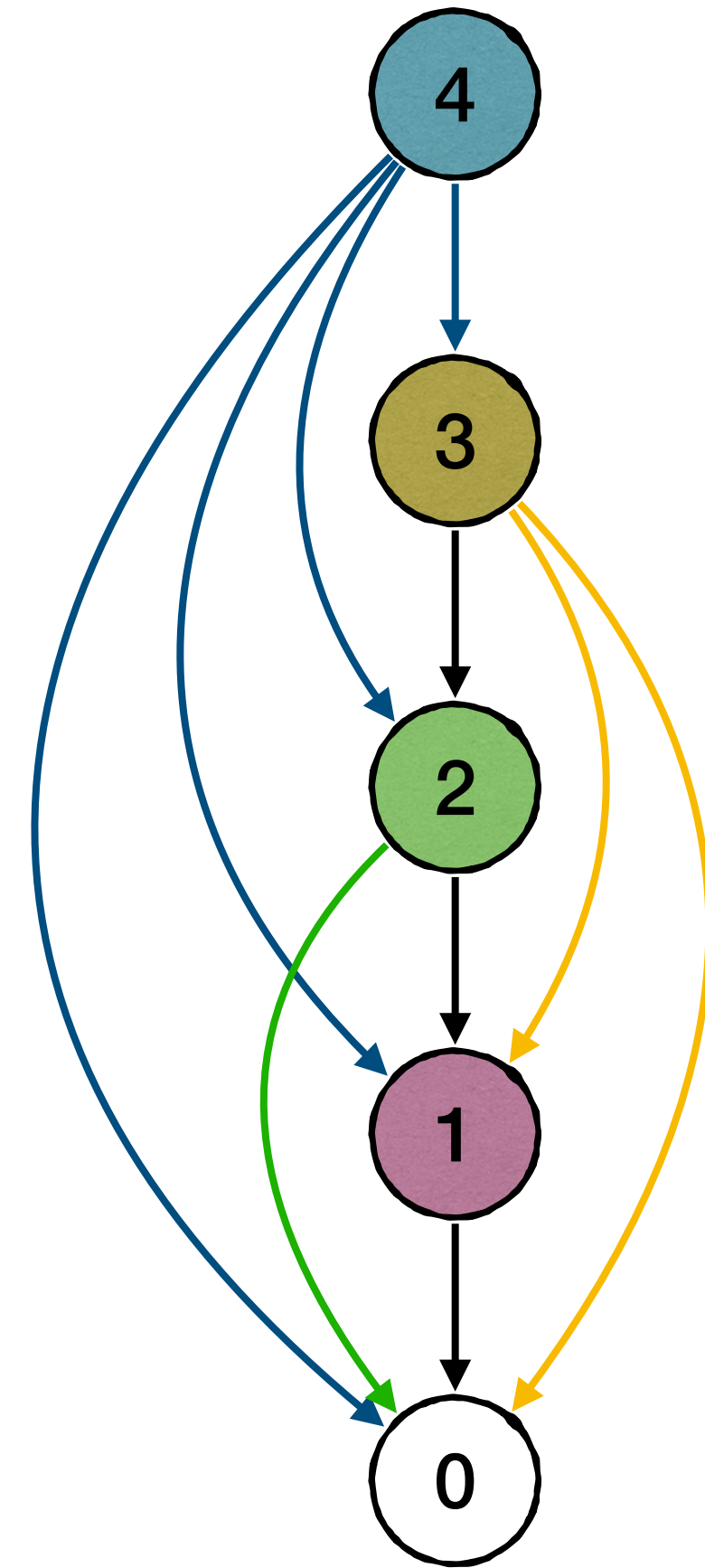
- Runtime of this algorithm:
 - ▶ Each subproblem (optimal revenue for length i rod) is solved once.
 - ▶ When actually solving the size i problem, optimal solutions of subproblems are known. (Otherwise we would recurse first.)
 - Thus solving size i problem itself (without subproblems) needs $\Theta(i)$ time.
 - ▶ Total runtime is $\Theta(1 + 2 + \dots + n) = \Theta(n^2)$.



The Rod-Cutting Problem



Overlapping subproblems





The Top-Down Approach

- Solving the problem using recursion is like DFS.
- Convert recursion to iteration?
 - ▶ A problem cannot be solved until all subproblems it depends upon are solved.
 - ▶ The subproblem graph is a DAG! (WHY?)
 - ▶ Consider subproblems in reverse topological order!

CutRodIter(prices,n):

$r[0] := 0$

for $i := 1$ **to** n

$q := -INF$

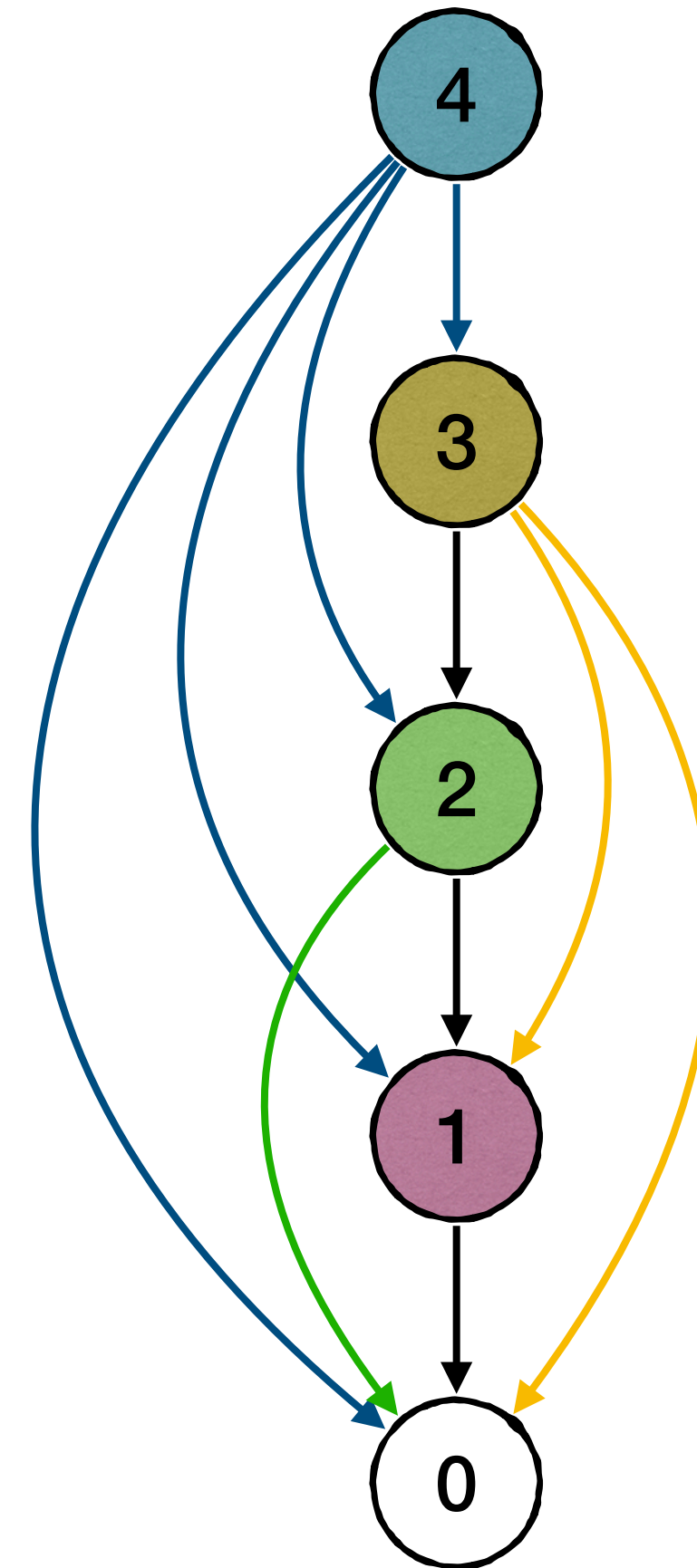
for $j := 1$ **to** i

$q := \text{Max}(q, \text{prices}[j] + r[i - j])$

$r[i] := q$

return $r[n]$

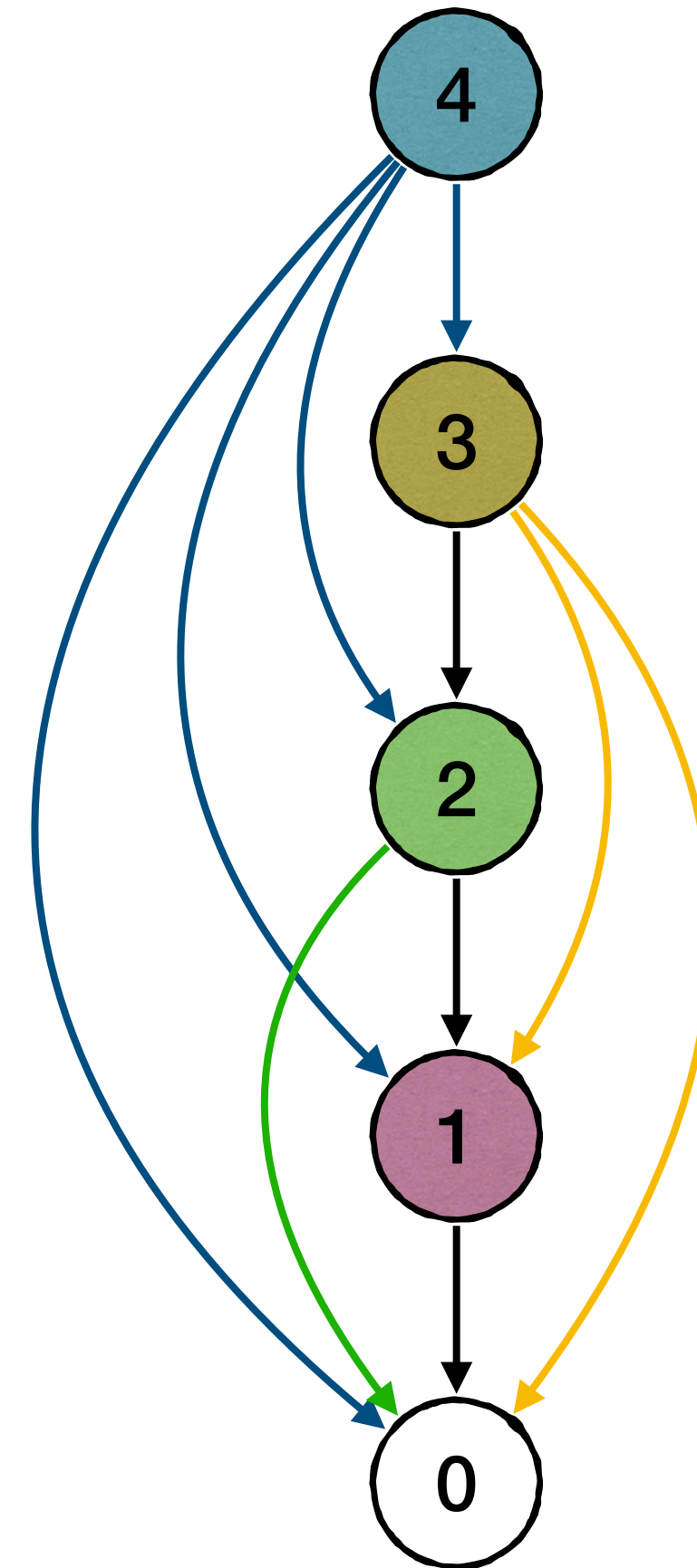
Runtime is $\Theta(n^2)$





Reconstructing optimal solution

- Algorithm gives optimal revenue, but how to cut?



CutRodIter(prices,n):

$r[0] := 0$

for $i := 1$ **to** n

$q := -INF$

for $j := 1$ **to** i

if $q < prices[j] + r[i - j]$

$q := prices[j] + r[i - j]$

$cuts[i] = j$

$r[i] := q$

return $r[n]$

PrintOpt(cuts,n):

while $n > 0$

Print $cuts[n]$

$n := n - cuts[n]$



Dynamic Programming





Dynamic Programming (DP)

- Consider an (optimization) problem:
 - Build optimal solution step by step.
 - Problem has **optimal substructure** property.
 - We can design a recursive algorithm.
 - Problem has lots of **overlapping** subproblems.
 - Recursion and **memorize** solutions. (Top-Down)
 - Or, consider subproblems in the **right order**. (Bottom-Up)
- We have seen such algorithms previously!



The Floyd-Warshall Algorithm

- **Strategy:** recurse on the *set of node* the shortest paths use.
- Define $dist(u, v, r)$ be length of shortest path from u to v , s.t. only nodes in $V_r = \{x_1, x_2, \dots, x_r\}$ can be intermediate nodes in paths.

- $$dist(u, v, r) = \begin{cases} w(u, v) & \text{if } r = 0 \text{ and } (u, v) \in E \\ \infty & \text{if } r = 0 \text{ and } (u, v) \notin E \\ \min \left\{ \begin{array}{l} dist(u, v, r - 1) \\ dist(u, x_r, r - 1) + dist(x_r, v, r - 1) \end{array} \right\} & \text{otherwise} \end{cases}$$



The Floyd-Warshall Algorithm

FloydWarshallAPSP(G):

for each pair (u,v) **in** $V*V$

if (u, v) **in** E **then** $dist[u,v, 0] := w(u, v)$

else $dist[u,v,0] := INF$

for $r := 1$ **to** n

for each node u

for each node v

$dist[u,v,r] := dist[u,v, r - 1]$

if $dist[u,v,r] > dist[u,x_r, r - 1] + dist[x_r,v, r - 1]$

$dist[u,v,r] := dist[u,x_r, r - 1] + dist[x_r,v, r - 1]$

Bottom-up Approach



Developing a DP algorithm

- Characterize the structure of solution.
 - E.g. [rod-cutting]: (one cut of length i) + (solution for length $n - i$)
- Recursively define the value of an optimal solution.
 - E.g. [rod-cutting]: $r_n = \max_{1 \leq i \leq n} (p_i + r_{n-i})$
- Compute the value of an optimal solution.
 - Top-down or Bottom-up. (Usually use bottom-up)
- [*] Construct an optimal solution.
 - Remember optimal choices (beside optimal solution values).



Matrix-chain Multiplication

- Input: Matrices A_1, A_2, \dots, A_n , with A_i of size $p_{i-1} \times p_i$.
- Output: $A_1 A_2 \dots A_n$.
- Problem: Compute output with minimum work?
- Matrix multiplication is associative, and order does matter!
 - ▶ Example: $|A_1| = 10 \times 100, |A_2| = 100 \times 5, |A_3| = 5 \times 50$
 - ▶ $(A_1 A_2) A_3$ costs $10 \times 100 \times 5 + 10 \times 5 \times 50 = 7500$
 - ▶ $A_1 (A_2 A_3)$ costs $100 \times 5 \times 50 + 10 \times 100 \times 50 = 75000$

Optimal order for minimum cost?



Developing a DP algorithm for Matrix-chain Multiplication

- Characterize the structure of solution.
 - ▶ What's the last step in computing $A_1A_2 \dots A_n$?
 - ▶ For every order, last step is $(A_1A_2 \dots A_k) \cdot (A_{k+1}A_{k+2} \dots A_n)$.
 - ▶ In general, $A_iA_{i+1} \dots A_j = (A_iA_{i+1} \dots A_k) \cdot (A_{k+1}A_{k+2} \dots A_j)$
- Recursively define the value of an optimal solution.
 - ▶ Let $m[i, j]$ be the minimal cost for computing $A_iA_{i+1} \dots A_j$
 - ▶
$$m[i, j] = \min_{i \leq k < j} (m[i, k] + m[k + 1, j] + p_{i-1}p_kp_j)$$
 - Optimal Substructure Property!



Developing a DP algorithm for Matrix-chain Multiplication

- Let $m[i, j]$ be the minimal cost for computing $A_i A_{i+1} \cdots A_j$
- $m[i, j] = \min_{i \leq k < j} (m[i, k] + m[k + 1, j] + p_{i-1} p_k p_j)$
- Compute the value of an optimal solution.
 - ▶ **Top-down** (recursion with memorization) is easy, but **bottom-up**?
 - ▶ What does $m[i, j]$ depend upon?
 - $m[i, j]$ depend upon $m[i', j']$, where $j' - i' < j - i$.
 - ▶ Compute $m[i, j]$ in **length increasing** order!

MatrixChainDP(A_1, A_2, \dots, A_n):

for $i := 1$ **to** n

$m[i, i] := 0$

for $l := 2$ **to** n

for $i := 1$ **to** $n - l + 1$

$j := i + l - 1$

$m[i, j] = INF$

for $k := i$ **to** $j - 1$

$cost := m[i, k] + m[k + 1, j] + p_{i-1} * p_k * p_j$

if $cost < m[i, j]$

$m[i, j] := cost$

return m



Developing a DP algorithm for Matrix-chain Multiplication

- Construct an optimal solution.
 - For each (i, j) pair, remember the position of the optimal “split”.

MatrixChainDP(A_1, A_2, \dots, A_n):

```
for  $i := 1$  to  $n$ 
     $m[i, i] := 0$ 
for  $l := 2$  to  $n$ 
    for  $i := 1$  to  $n - l + 1$ 
         $j := i + l - 1$ 
         $m[i, j] = INF$ 
        for  $k := i$  to  $j - 1$ 
             $cost := m[i, k] + m[k + 1, j] + p_{i-1} * p_k * p_j$ 
            if  $cost < m[i, j]$ 
                 $m[i, j] := cost$ 
                 $s[i, j] := k$ 
return  $\langle m, s \rangle$ 
```

MatrixChainPrintOpt(s, i, j):

```
if  $i = j$ 
    Print “ $A_i$ ”
else
    Print “(”
    MatrixChainPrintOpt( $s, i, s[i, j]$ )
    MatrixChainPrintOpt( $s, s[i, j] + 1, j$ )
    Print “)”
```



Edit Distance

- Given two strings, how *similar* are they?
 - ▶ **Application:** when a spell checker encounters a possible misspelling, it needs to search dictionary to find *nearby* words.
- Consider following three type of operations for a string:
 - ▶ **Insertion:** insert a character at a position.
 - ▶ **Deletion:** remove a character at a position.
 - ▶ **Substitution:** change a character to another character.



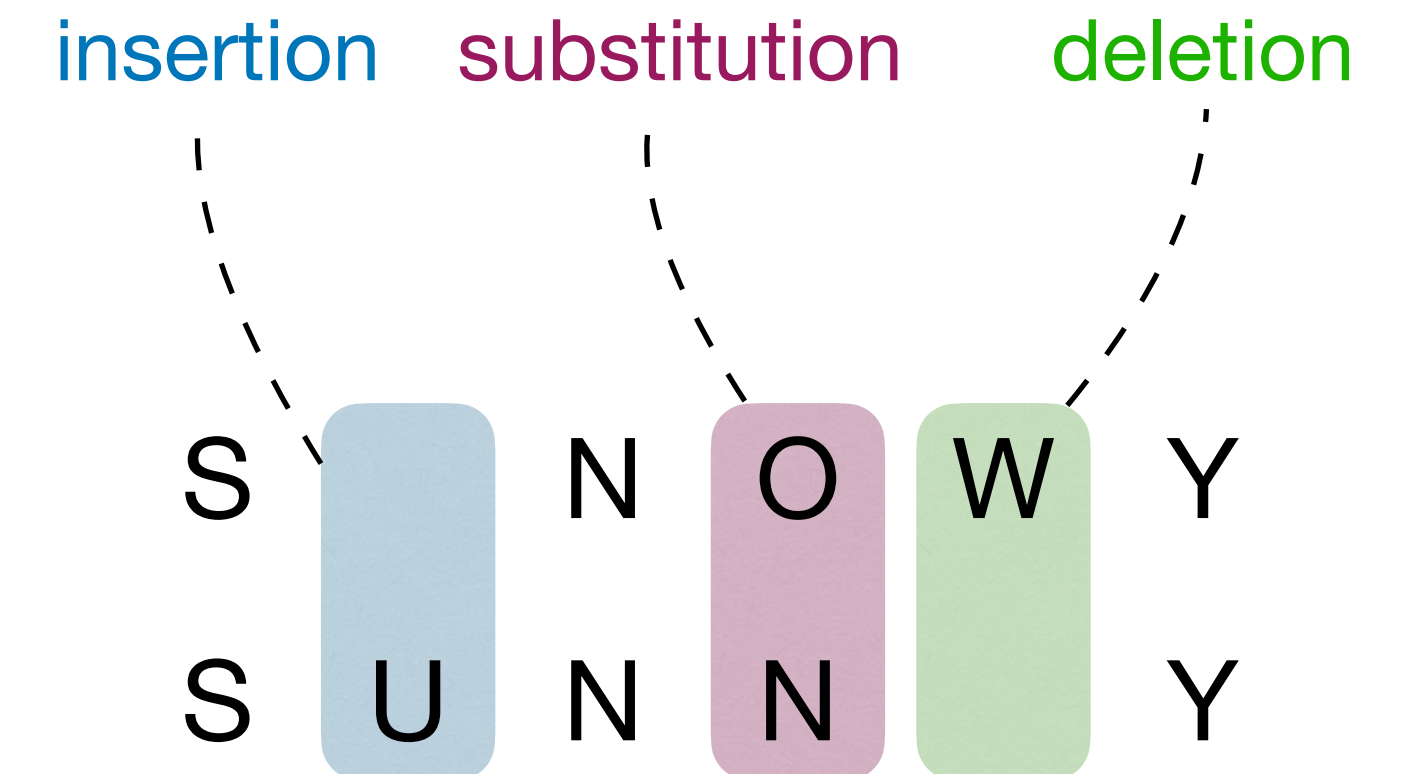
Edit Distance

- **Edit Distance** of A and B: minimal number of ops to transform A into B.
- *Example*: transform “SNOWY” to “SUNNY”
 - ▶ Insertion: SNOWY → S**U**NOWY
 - ▶ Deletion: SUNO**W**Y → SUNOY
 - ▶ Substitution: SUNO**O**Y → SUNN**N**Y
 - ▶ Edit distance is *at most* 3 (and it *indeed* is 3).



Edit Distance

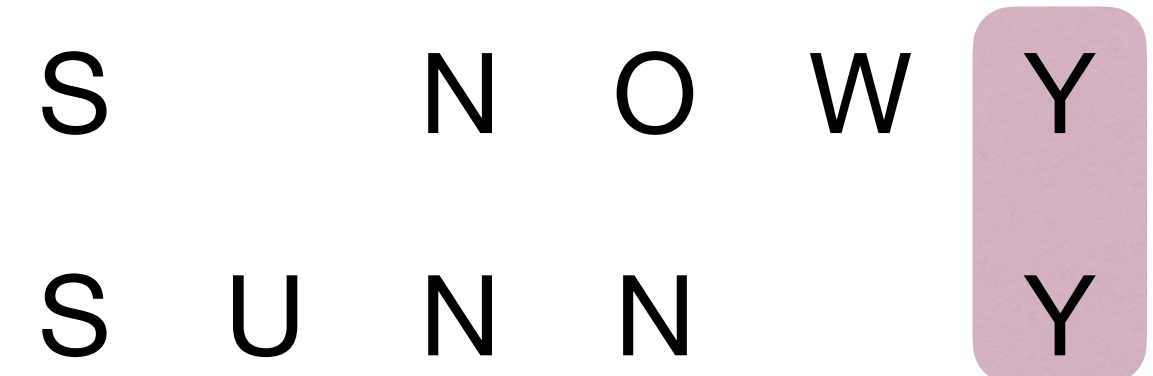
- **Edit Distance** of A and B : minimal number of ops to transform A into B .
 - Operations: **Insertion**, **Deletion**, and **Substitution**.
- One way to visualize the editing process:
 - **Align** string A above string B ;
 - A gap in first line indicates an **insertion** (to A);
 - A gap in second line indicates a **deletion** (from A);
 - A column with different characters indicates a **substitution**.





Edit Distance

- **Problem:** Given A and B , what is the edit distance?
- **Step 1:** Characterize the structure of solution.
 - Consider transform $A[1 \dots m]$ to $B[1 \dots n]$.
 - Each solution can be visualized in the way described earlier.
 - Last column must be one of three cases: $\begin{matrix} - \\ B[n] \end{matrix}$ or $\begin{matrix} A[m] \\ B[n] \end{matrix}$ or $\begin{matrix} A[m] \\ - \end{matrix}$
 - Each case reduces the problem to a subproblem:
 - $(-, B[n])$: edit distance of $A[1 \dots m]$ and $B[1 \dots (n - 1)]$
 - $(A[m], B[n])$: edit distance of $A[1 \dots (m - 1)]$ and $B[1 \dots (n - 1)]$
 - $(A[m], -)$: edit distance of $A[1 \dots (m - 1)]$ and $B[1 \dots n]$





Edit Distance

- Step 2: Recursively define the value of an optimal solution

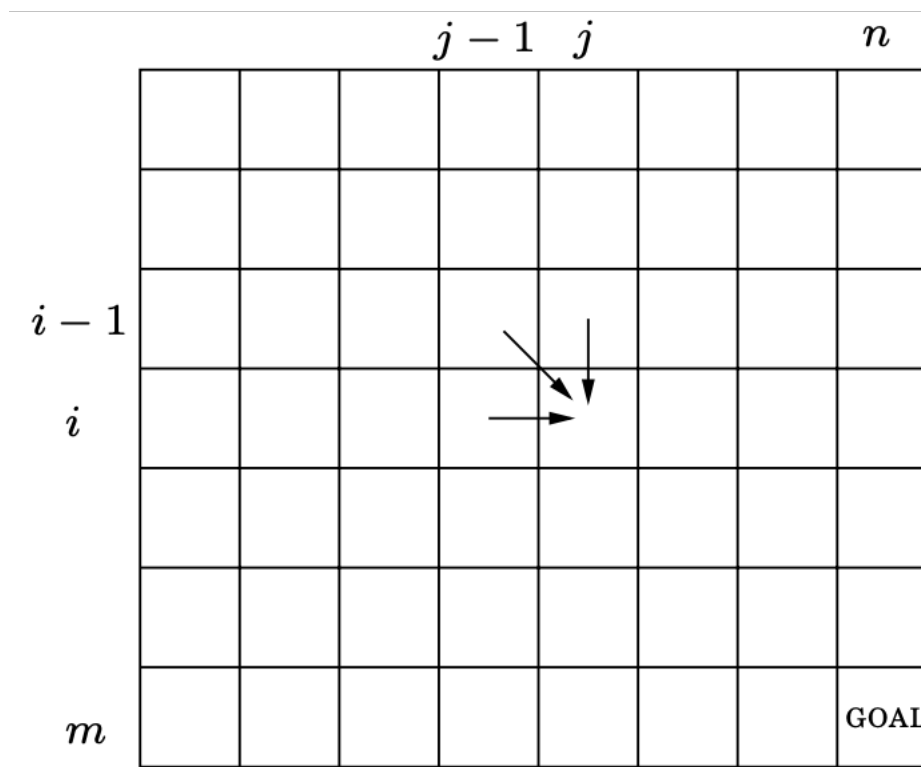
$$\text{dist}(i, j) = \begin{cases} i & \text{if } j = 0 \\ j & \text{if } i = 0 \\ \min \left\{ \begin{array}{l} \text{dist}(i, j - 1) + 1 \\ \text{dist}(i - 1, j) + 1 \\ \text{dist}(i - 1, j - 1) + I[A[i] = B[j]] \end{array} \right\} & \text{otherwise} \end{cases}$$



Edit Distance

- Step 3: Compute the value of an optimal solution (Bottom-Up).

- What does $dist(i, j)$ depend upon?

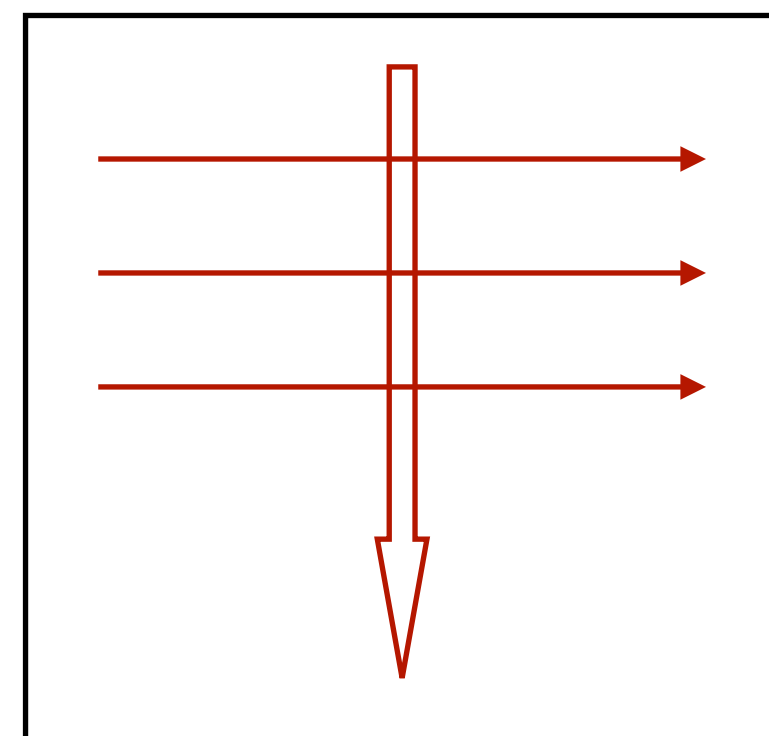


- Outer-loop:

- increasing i ;

- Inner-loop:

- increasing j



EditDistDP(A[1...m],B[1...n]):

for $i := 0$ **to** m

$dist[i, 0] := i$

for $j := 0$ **to** n

$dist[0, j] := j$

for $i := 1$ **to** m

for $j := 1$ **to** n

$delDist := dist[i - 1, j] + 1$

$insDist := dist[i, j - 1] + 1$

$subDist := dist[i - 1, j - 1] + Diff(A[i], B[j])$

$dist[i, j] := Min(delDist, insDist, subDist)$

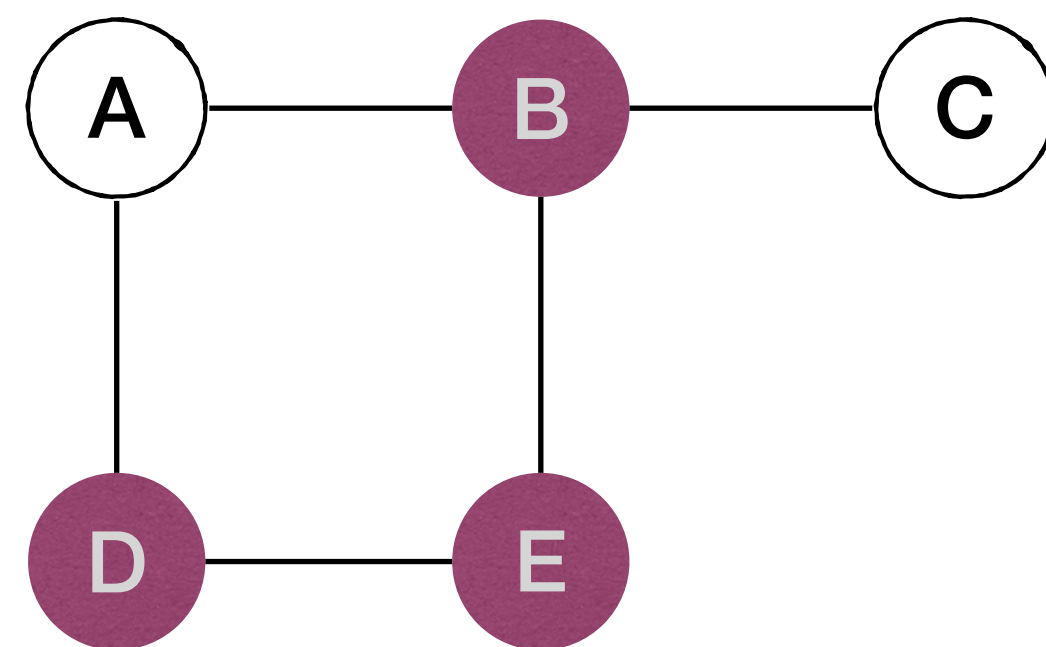
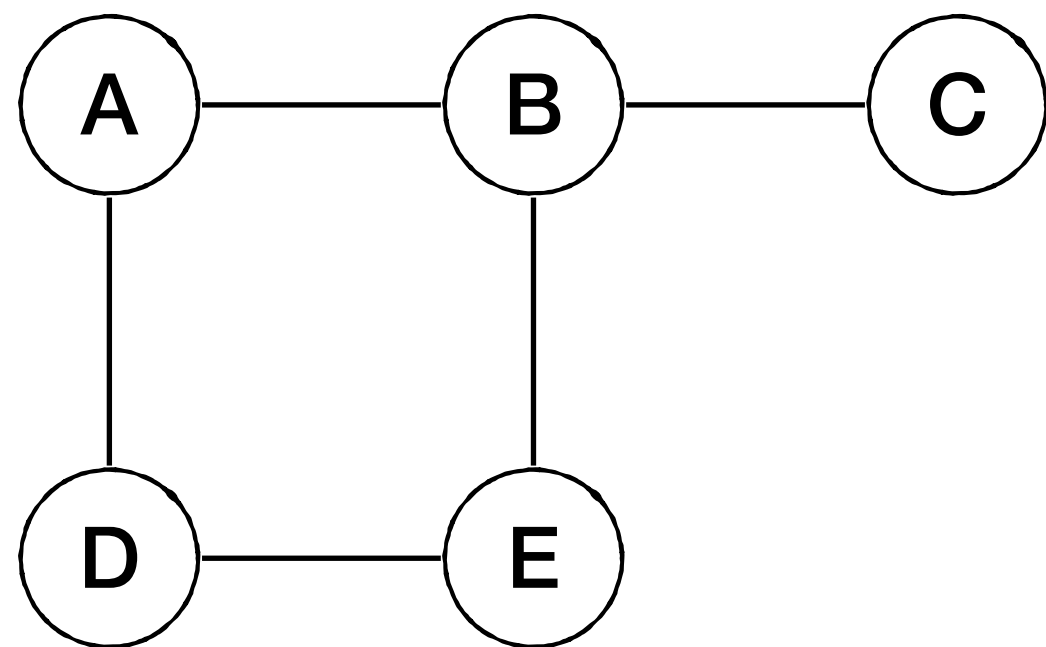
return $dist$

Step 4: Construct an optimal solution.

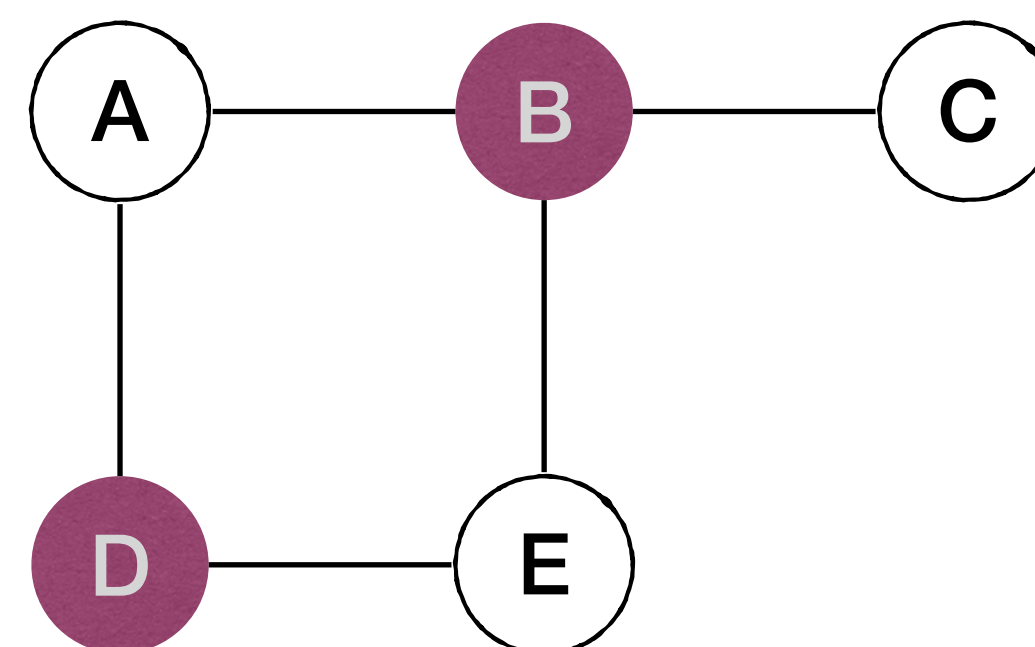


Maximum Independent Set

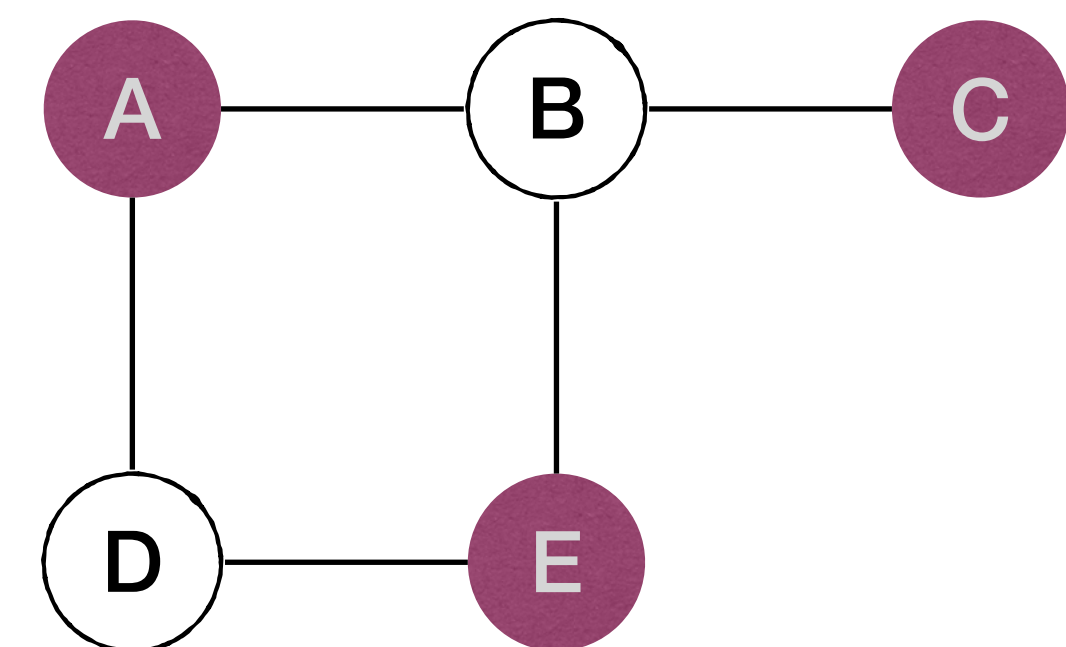
- Given an undirected graph $G = (V, E)$, an **independent set** I is a subset of V , such that no vertices in I are adjacent. Put another way, for all $(u, v) \in I \times I$, we have $(u, v) \notin E$.
- A **maximum independent set (MaxIS)** is an independent set of maximum size.



$\{B, D, E\}$ is **Not** IS



$\{B, D\}$ is IS,
but is **Not** MaxIS



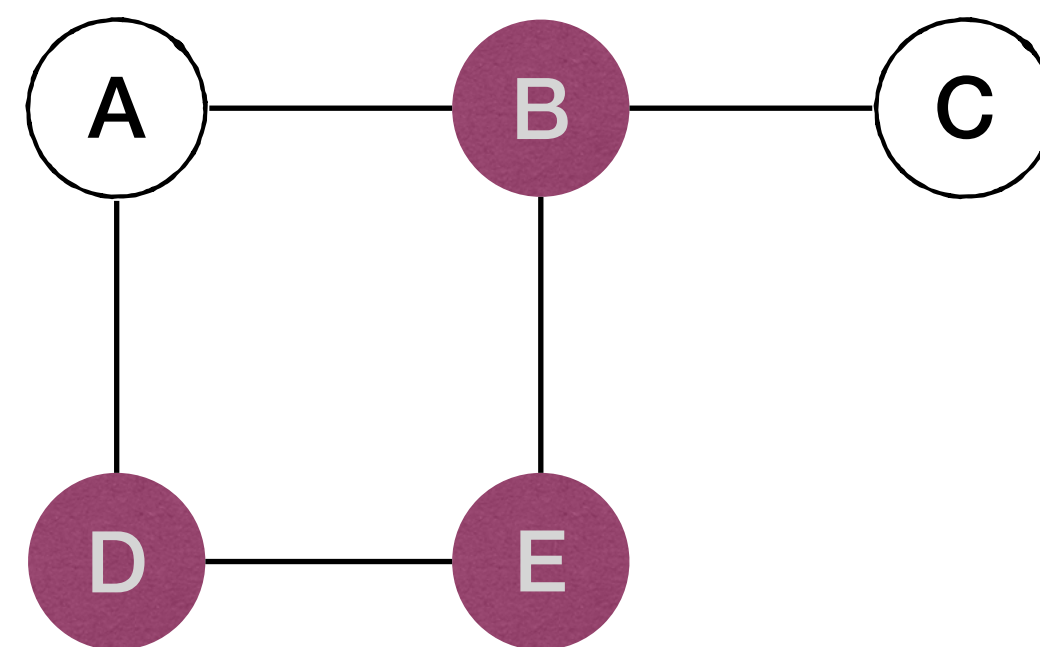
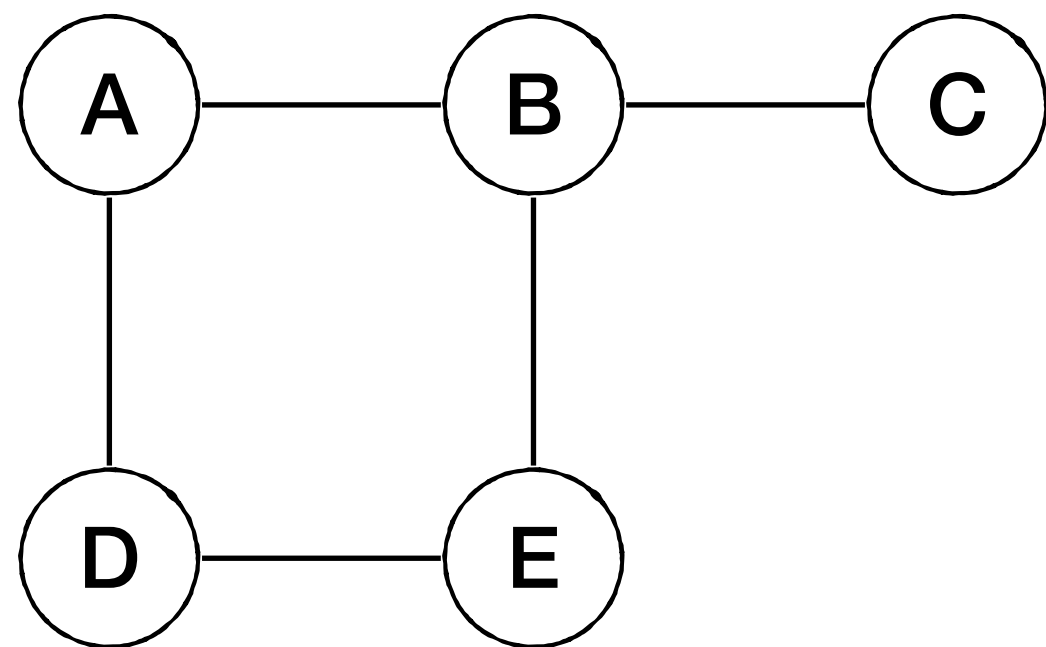
$\{A, E, C\}$ is IS,
and is also MaxIS



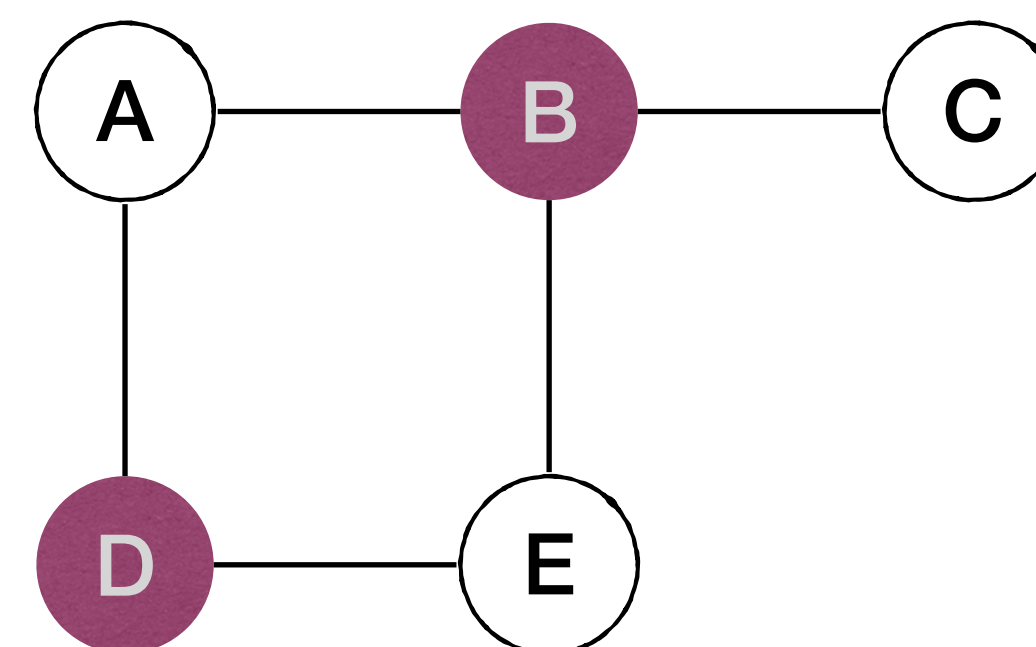
Maximum Independent Set

NP-hard!

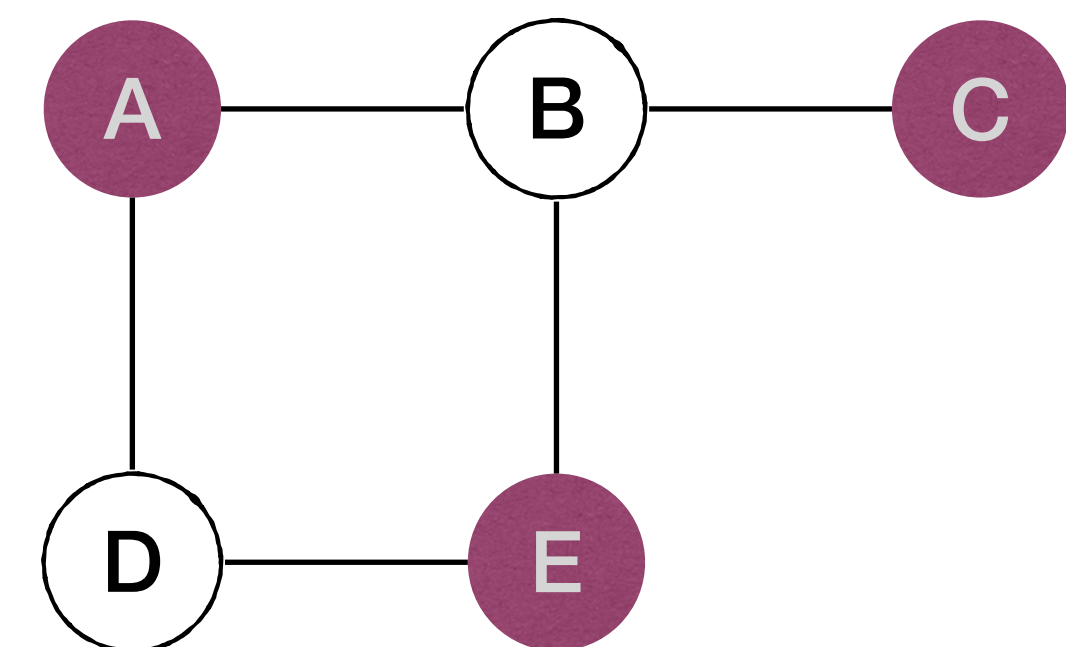
- Computing MaxIS in an arbitrary graph is very hard. Even getting an **approximate** MaxIS is very hard!
- But if we only consider **trees**, MaxIS is very easy!



$\{B, D, E\}$ is **Not** IS



$\{B, D\}$ is IS,
but is **Not** MaxIS



$\{A, E, C\}$ is IS,
and is also MaxIS

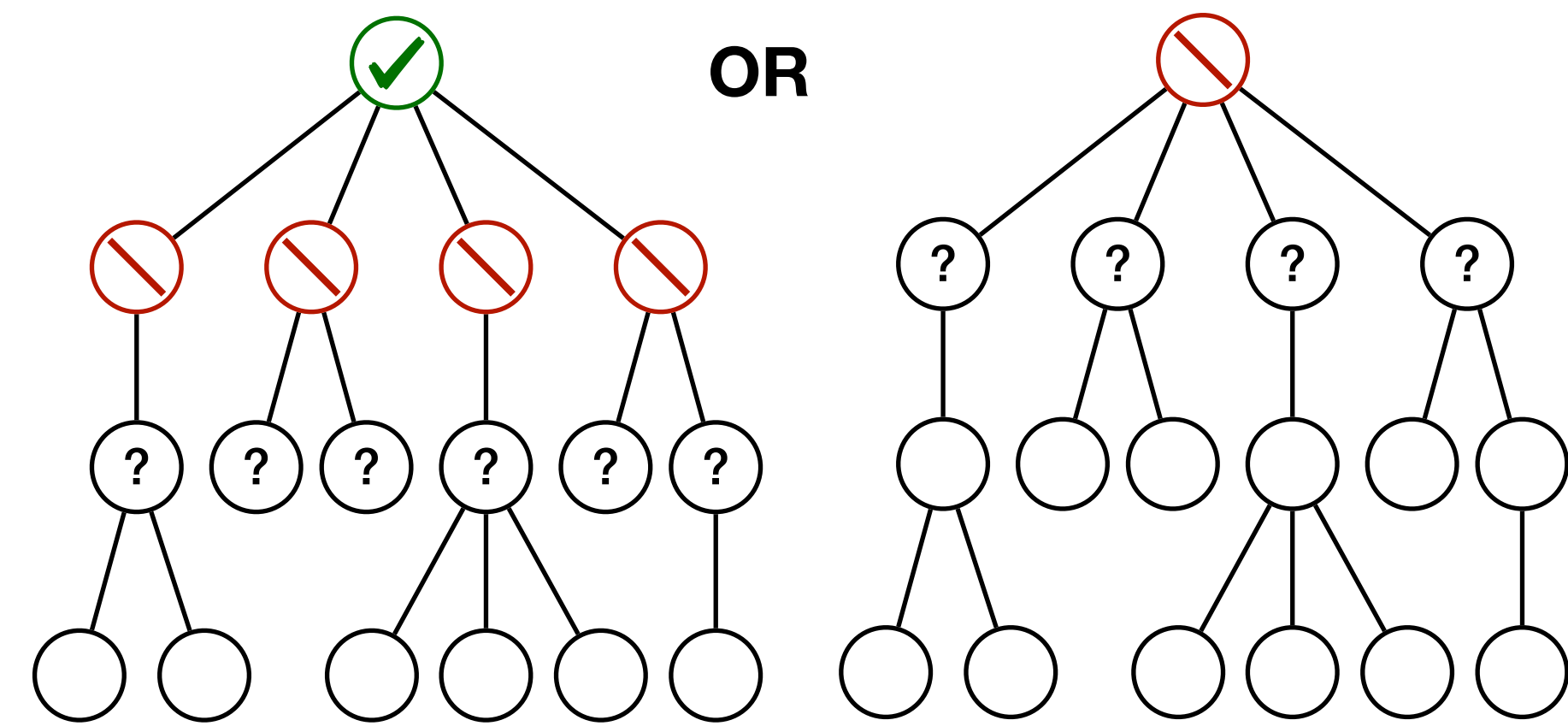
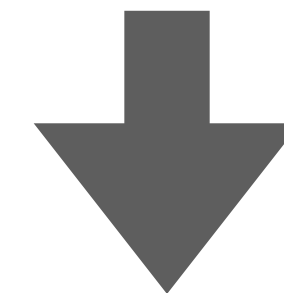
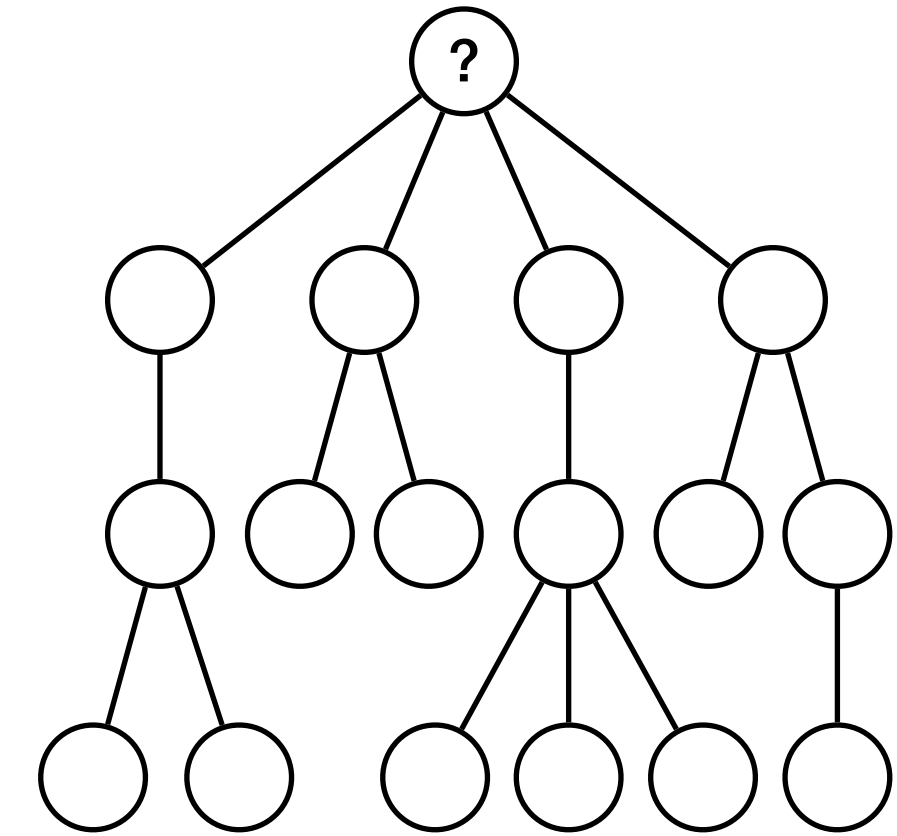


MaxIS of Trees

- **Problem:** Given a tree T with root r , compute a MaxIS of it.
- Step 1: Characterize the structure of solution.
 - ▶ Given an IS I of T , for each child u of r , set $I \cap V(T_u)$ is an IS of T_u .
- Step 2: Recursively define the value of an optimal solution.
 - ▶ Let $mis(T_u)$ be size of MaxIS of (sub)tree rooted at node u .

$$mis(T_u) = 1 + \sum_{v \text{ is a child of } u} mis(T_v)$$

- ▶ **NO!** The recurrence depends on whether u is in the MaxIS of T_u .





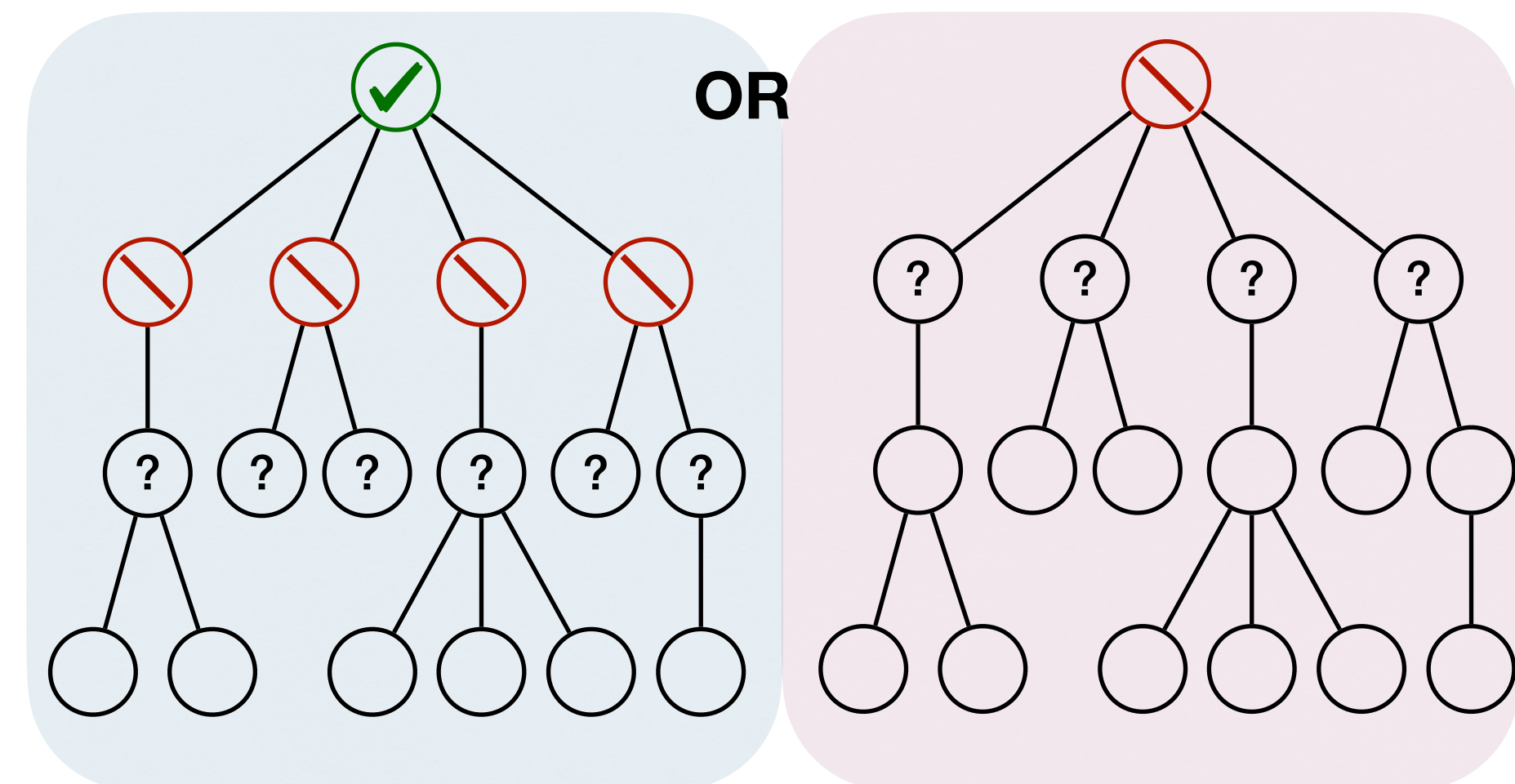
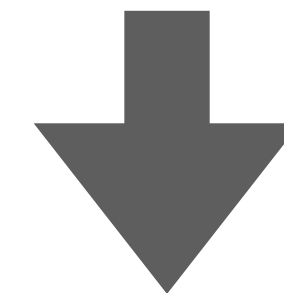
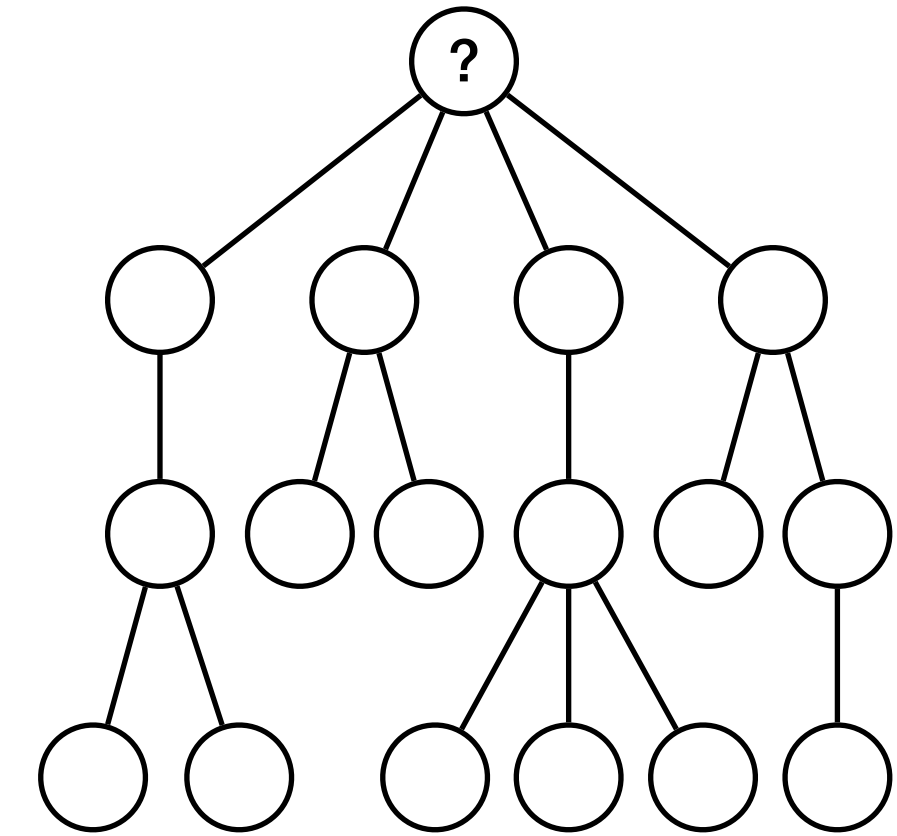
MaxIS of Trees

- Step 2: Recursively define the value of an optimal solution
 - ▶ Let $mis(T_u)$ be size of MaxIS of (sub)tree rooted at node u .
 - ▶ The recurrence depends on whether u in the MaxIS of T_u .
 - ▶ Let $mis(T_u, 1)$ be size of MaxIS of T_u , s.t. u in the MaxIS.
 - ▶ Let $mis(T_u, 0)$ be size of MaxIS of T_u , s.t. u NOT in the MaxIS.

$$mis(T_u, 1) = 1 + \sum_{v \text{ is a child of } u} mis(T_v, 0)$$

$$mis(T_u, 0) = \sum_{v \text{ is a child of } u} mis(T_v)$$

$$mis(T_u) = \max\{mis(T_u, 0), mis(T_u, 1)\}$$





MaxIS of Trees

- Step 3: Compute the value of an optimal solution.

MaxISDP(u):

$mis1 := 1$

$mis0 := 0$

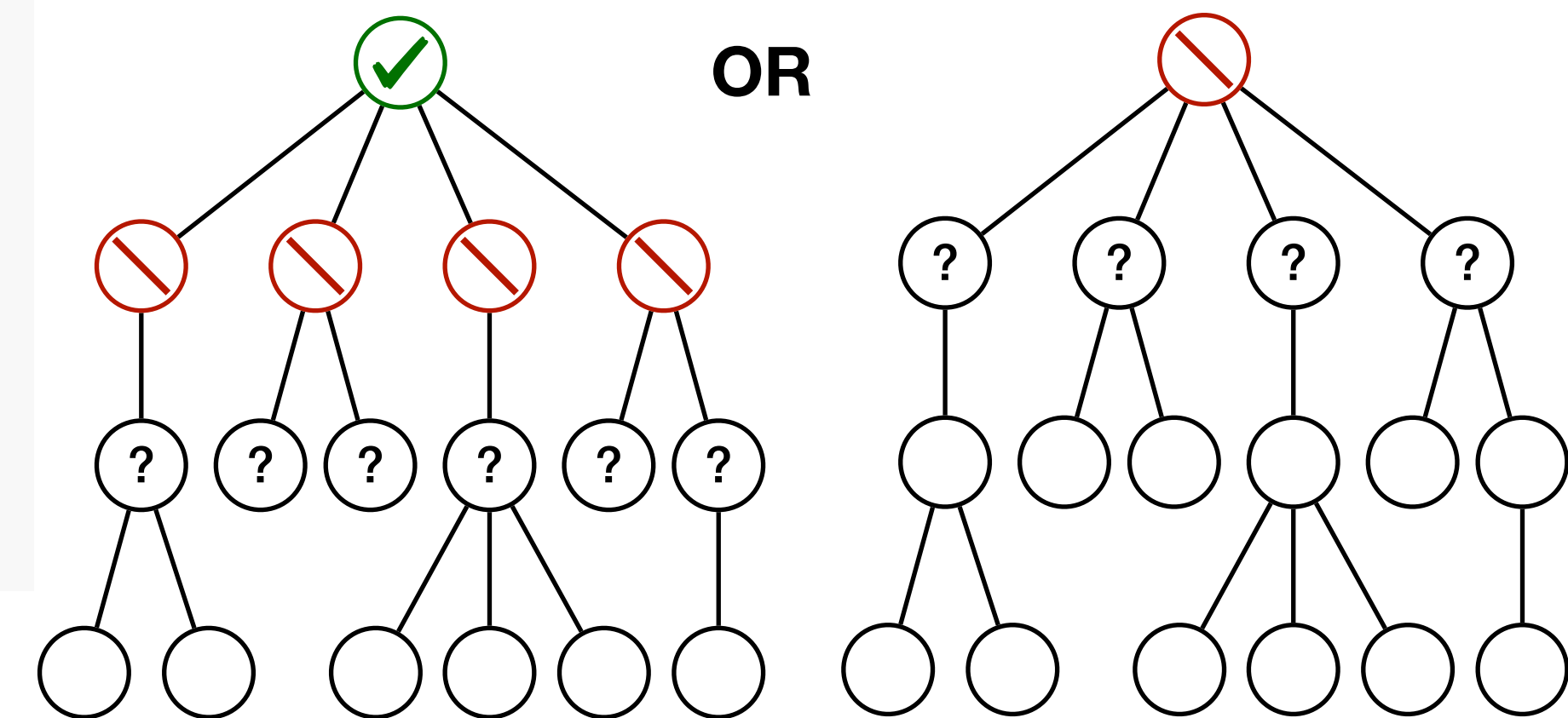
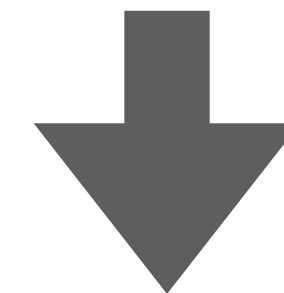
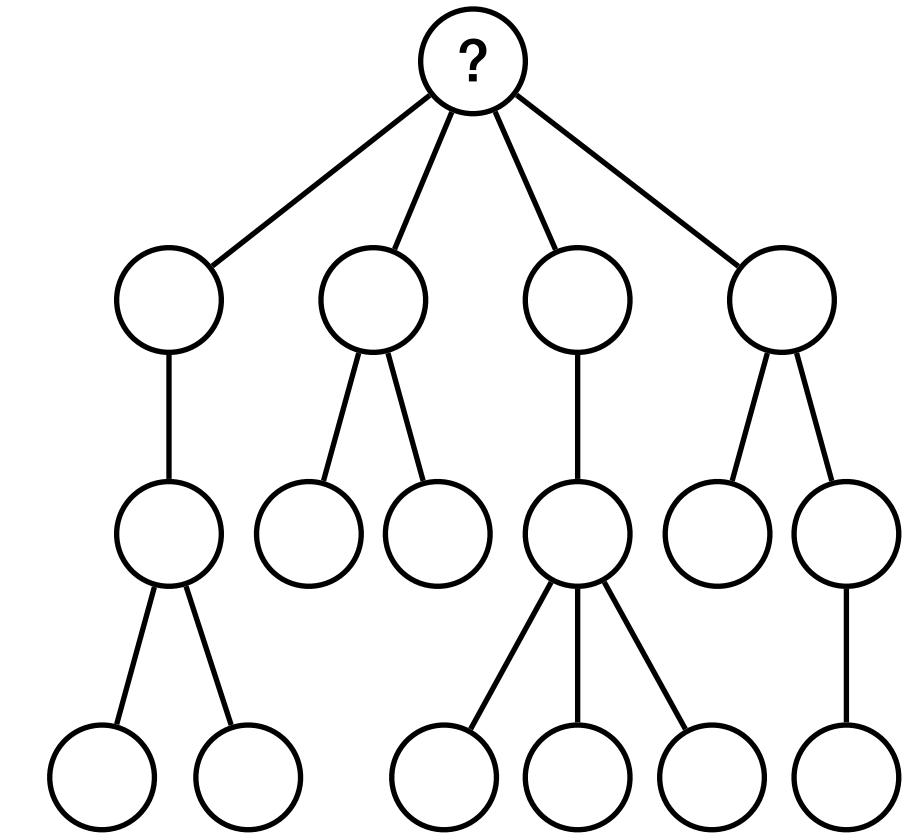
for each child v of u

$mis1 := mis1 + MaxISDP(v).mis0$

$mis0 := mis0 + MaxISDP(v).mis$

$mis := Max(mis0, mis1)$

return $\langle mis, mis0, mis1 \rangle$



Runtime is $O(V + E) = O(V)$



Discussions of Dynamic Programming





Dynamic Programming (DP)

- Consider an (optimization) problem:
 - ▶ Build optimal solution step by step.
 - ▶ Problem has optimal substructure property.
 - We can design a recursive algorithm.
 - ▶ Problem has lots of overlapping subproblems.
 - Recursion and *memorize* solutions. (Top-Down)
 - Or, consider subproblems in the *right order*. (Bottom-Up)



Optimal substructure not always true

Optimal substructure property!

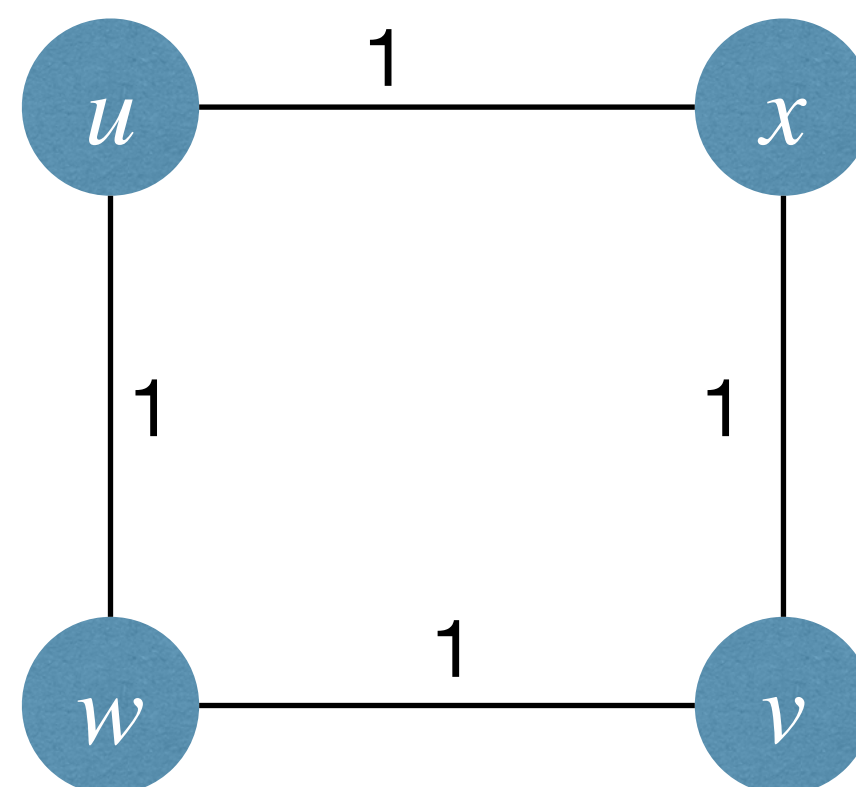
Shortest path in unit-weight graph:

- ▶ Assume $w \in OPT(u \rightsquigarrow v)$
- ▶ $OPT(u \rightsquigarrow v) = u \xrightarrow{p_1} w \xrightarrow{p_2} v$

$$- p_1 = OPT(u \rightsquigarrow w)$$

$$- p_2 = OPT(w \rightsquigarrow v)$$

Subproblems are independent!



NO optimal substructure property!

Longest *simple* path in unit-weight graph:

- ▶ Assume $w \in OPT(u \rightsquigarrow v)$
- ▶ $OPT(u \rightsquigarrow v) = u \xrightarrow{p_1} w \xrightarrow{p_2} v$

$$▶ p_1 = OPT(u \rightsquigarrow w)?$$

$$- \text{Actually, } OPT(u \rightsquigarrow w) = u \rightsquigarrow x \rightsquigarrow v \rightsquigarrow w \neq p_1$$

$$- \text{Similarly, } OPT(w \rightsquigarrow v) = w \rightsquigarrow u \rightsquigarrow x \rightsquigarrow v \neq p_2$$

Subproblems are NOT independent!



Dynamic Programming (DP)

- Consider an (optimization) problem:
 - ▶ Build optimal solution step by step.
 - ▶ Problem has **optimal substructure** property.
 - We can design a recursive algorithm.
 - ▶ Problem has lots of **overlapping** subproblems.
 - Recursion and *memorize* solutions. (Top-Down)
 - Or, consider subproblems in the *right order*. (Bottom-Up)



Top-Down vs Bottom-Up

Dynamic programming trades space for time → Save solutions for subproblems to avoid repeat computation.

- **[Top-Down]** Recursion with memorization.
 - ▶ Very straightforward, easy to write down the code.
 - ▶ Use array or hash-table to memorize solutions.
 - ▶ Array may cost more space, but hash-table may cost more time.
- **[Bottom-Up]** Solve subproblems in the right order.
 - ▶ Finding the right order might be non-trivial. (Subproblem graph?)
 - ▶ Usually use array to memorize solutions.
 - ▶ Might be able to reduce the size of array to save even more space.

Top-down often costs more time in practice. (Recursion is costly!)
But not always! (Top-down only considers *necessary* subproblems.)



APSP via Dynamic Programming

$$dist(u, v, r) = \begin{cases} w(u, v) & \text{if } r = 0 \text{ and } (u, v) \in E \\ \infty & \text{if } r = 0 \text{ and } (u, v) \notin E \\ \min \left\{ \begin{array}{l} dist(u, v, r - 1) \\ dist(u, x_r, r - 1) + dist(x_r, v, r - 1) \end{array} \right\} & \text{otherwise} \end{cases}$$

FloydWarshallAPSP(G):

for each pair (u, v) **in** $V * V$

if (u, v) **in** E **then** $dist[u, v, 0] := w(u, v)$

else $dist[u, v, 0] := INF$

for $r := 1$ **to** n

for each node u

for each node v

$dist[u, v, r] := dist[u, v, r - 1]$

if $dist[u, v, r] > dist[u, x_r, r - 1] + dist[x_r, v, r - 1]$

$dist[u, v, r] := dist[u, x_r, r - 1] + dist[x_r, v, r - 1]$

Space cost
 $O(n^3)$

FloydWarshallAPSP(G):

for each pair (u, v) **in** $V * V$

if (u, v) **in** E **then** $dist[u, v] := w(u, v)$

else $dist[u, v] := INF$

for $r := 1$ **to** n

for each node u

for each node v

if $dist[u, v] > dist[u, x_r] + dist[x_r, v]$

$dist[u, v] := dist[u, x_r] + dist[x_r, v]$

Space cost
 $O(n^2)$



Edit Distance

$$dist(i, j) = \begin{cases} i & \text{if } j = 0 \\ j & \text{if } i = 0 \\ \min \left\{ \begin{array}{l} dist(i, j - 1) + 1 \\ dist(i - 1, j) + 1 \\ dist(i - 1, j - 1) + I[A[i] = B[j]] \end{array} \right\} & \text{otherwise} \end{cases}$$

EditDistDP(A[1...m],B[1...n]):

Space cost
 $O(n^2)$

```

for i := 0 to m
    dist[i, 0] := i
for j := 0 to n
    dist[0, j] := j
for i := 1 to m
    for j := 1 to n
        delDist := dist[i - 1, j] + 1
        insDist := dist[i, j - 1] + 1
        subDist := dist[i - 1, j - 1] + Diff(A[i], B[j])
        dist[i, j] := Min(delDist, insDist, subDist)
return dist

```

EditDistDP(A[1...m],B[1...n]):

Space cost
 $O(n)$

```

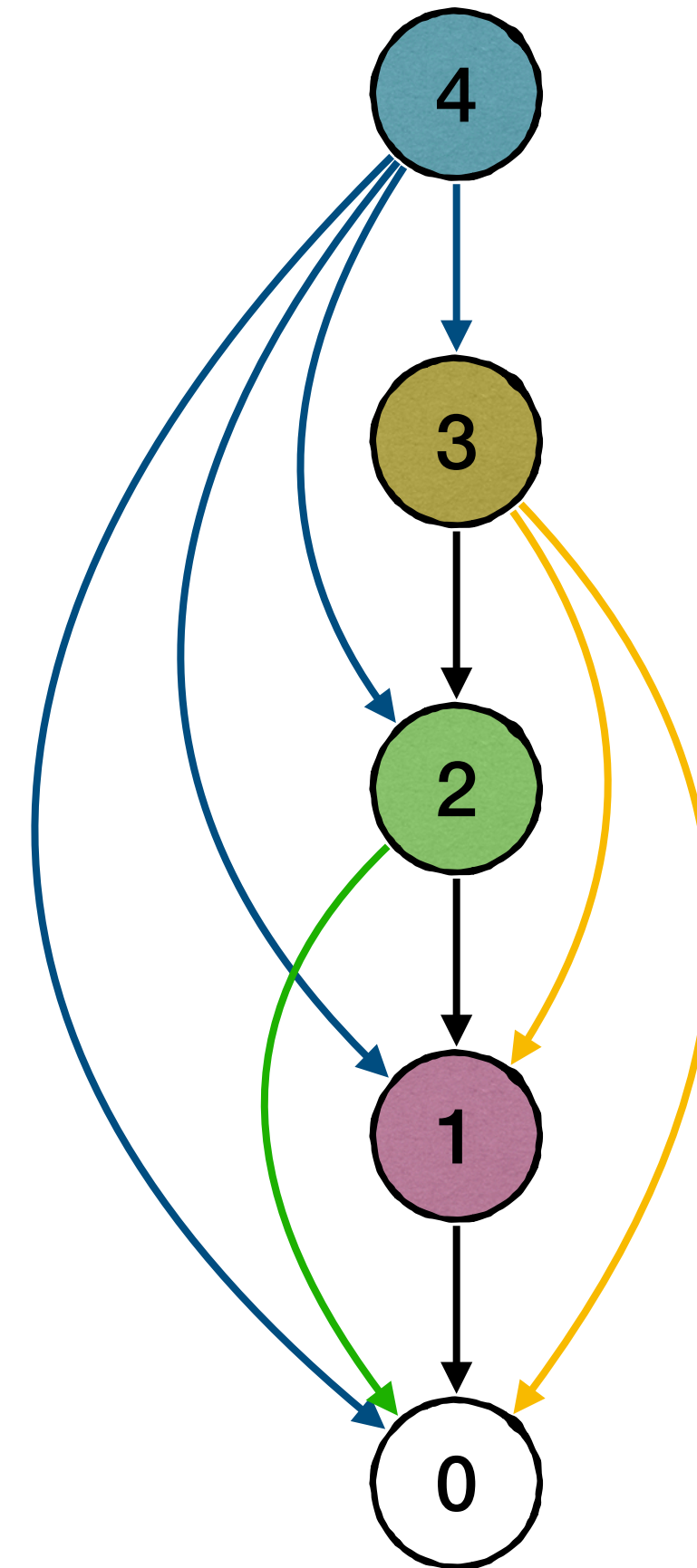
for j := 0 to n
    distLast[j] := j //distLast[j] = dist[i - 1, j]
for i := 0 to m
    distCur[0] := i //distCur[j] = dist[i, j]
    for j := 1 to n
        delDist := distLast[j] + 1
        insDist := distCur[j - 1] + 1
        subDist := distLast[j - 1] + Diff(A[i], B[j])
        distCur[j] := Min(delDist, insDist, subDist)
    distLast := distCur
return distCur[n]

```




Analysis of DP Algorithms

- Correctness:
 - Optimal substructure property.
 - **Bottom-up approach:** subproblems are already solved.
- Complexity:
 - **Space complexity:** usually obvious.
 - Time complexity [bottom-up]: usually obvious.
 - Time complexity [top-down]:
 - How many subproblems in total?(number of nodes in the subproblem DAG.)
 - Time to solve a problem, given subproblem solutions?(number of edges in the subproblem DAG.)





Subset Sum

- **Problem:** Given an array $X[1 \cdots n]$ of n positive integers, can we find a subset in X that sums to given integer T ?
- **Simple solution:** recursively enumerates all 2^n subsets, leading to an algorithm costing $O(2^n)$ time.
- Can we do better with dynamic programming?(Notice this is **not** an optimization problem.)



Subset Sum

- **Problem:** Given an array $X[1 \dots n]$ of n **positive** integers, can we find a subset in X that sums to given integer T ?
- **Step 1:** Characterize the structure of solution.
 - ▶ If there is a solution S , either $X[1]$ is in it or not.
 - ▶ If $X[1] \in S$, then there is a solution to instance “ $X[2 \dots n], T - X[1]$ ”;
 - ▶ If $X[1] \notin S$, then there is a solution to instance “ $X[2 \dots n], T$ ”.



Subset Sum

- Step 2: Recursively define the value of an optimal solution.
 - ▶ Let $ss(i, t) = \text{true}$ iff instance “ $X[i \dots n], t$ ” has a solution.

$$\text{▶ } ss(i, t) = \begin{cases} \text{true} & \text{if } t = 0 \\ ss(i + 1, t) & \text{if } t < X[i] \\ \text{false} & \text{if } i > n \\ ss(i + 1, t) \vee ss(i + 1, t - X[i]) & \text{otherwise} \end{cases}$$



Subset Sum

Runtime is
 $O(nT)$

$$ss(i, t) = \begin{cases} true & \text{if } t = 0 \\ ss(i + 1, t) & \text{if } t < X[i] \\ false & \text{if } i > n \\ ss(i + 1, t) \vee ss(i + 1, t - X[i]) & \text{otherwise} \end{cases}$$

- Step 3: Compute the value of an optimal solution (Bottom-Up).
 - ▶ Build an 2D array $ss[1\dots n, 0\dots T]$
 - ▶ Evaluation order: bottom row to top row; left to right within each row.

SubsetSumDP(X,T):

$ss[n, 0] := \text{True}$

for $t := 1$ **to** T

$ss[n, t] := (X[n] = t) ? \text{True} : \text{False}$

for $i := n - 1$ **downto** 1

$ss[i, 0] := \text{True}$

for $t := 1$ **to** $X[i] - 1$

$ss[i, t] := ss[i + 1, t]$

for $t := X[i]$ **to** T

$ss[i, t] := \mathbf{Or}(ss[i + 1, t], ss[i + 1, t - X[i]])$

return $ss[1, T]$



Subset Sum

- **Problem:** Given an array $X[1 \cdots n]$ of n positive integers, can we find a subset in X that sums to given integer T ?
- **Simple solution:** recursively enumerates all 2^n subsets, leading to an algorithm costing $O(2^n)$ time.
- Dynamic programming: costing $O(nT)$ time.
 - Dynamic programming isn't *always* an improvement! (Depends on T)



Dynamic Programming vs Greedy

Common strategies for solving optimization problems → Gradually generates a solution for the problem

- **Dynamic Programming**

- ▶ **At each step:** multiple potential choices, each reducing the problem to a subproblem, compute optimal solutions of all subproblems and then find optimal solution of original problem.
- ▶ Optimal substructure + **Overlapping subproblems.**

- **Greedy**

- ▶ **At each step:** make an optimal choice, then compute optimal solution of the subproblem induced by the choice made.
- ▶ Optimal substructure + **Greedy choice**

Try DP first, then check if greedy works! (If does, prove it!)
(Come up with a working algorithm first, then develop a faster one.)



Further reading

- [CLRS] Ch.1
- [DPV] Ch.6
- [Erickson] Ch.3

