



# 树 Trees

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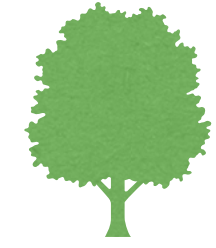
Nanjing University

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*The slides are mainly adapted from the original ones shared by Chaodong Zheng and Kevin Wayne. Thanks for their supports!*

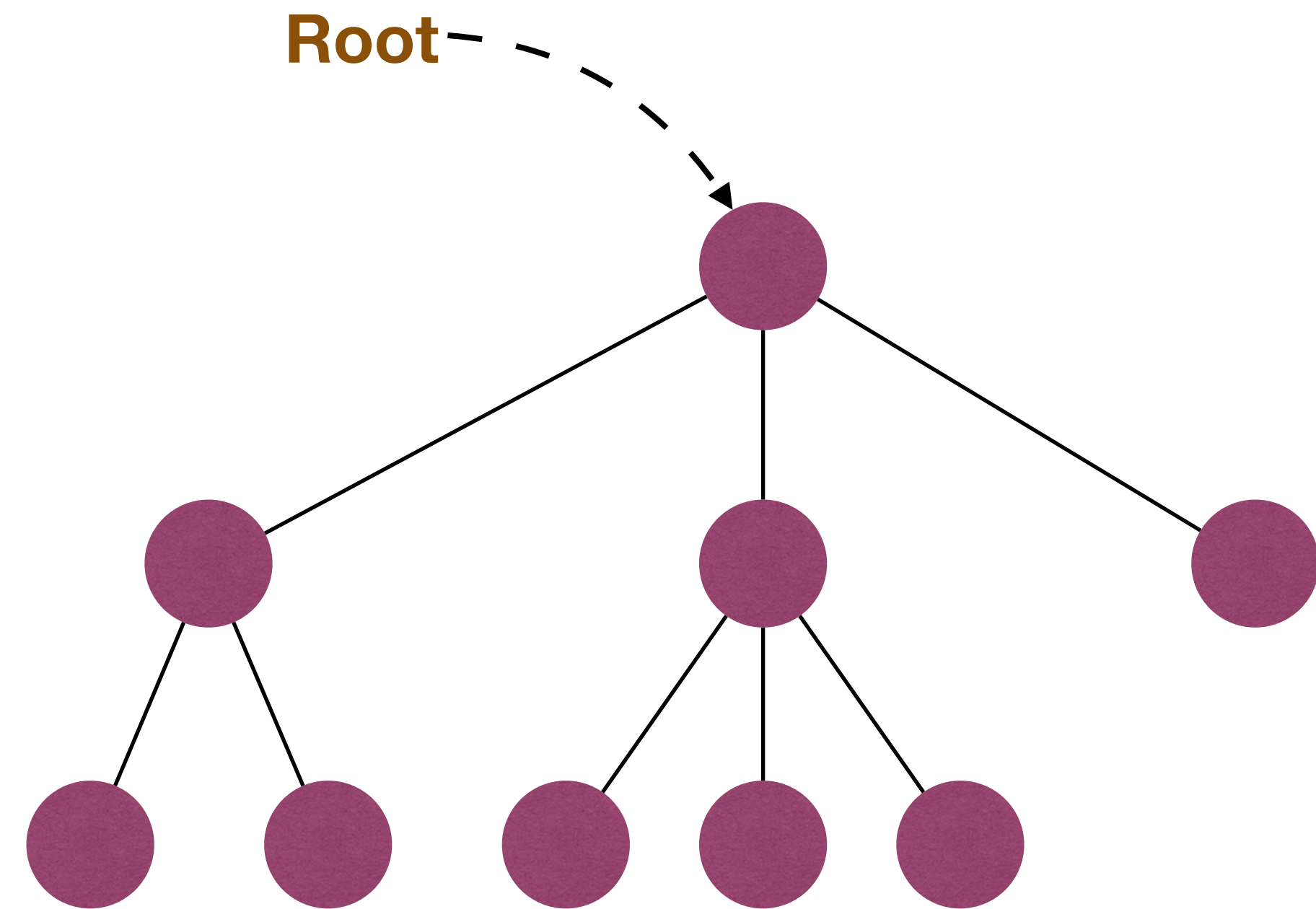
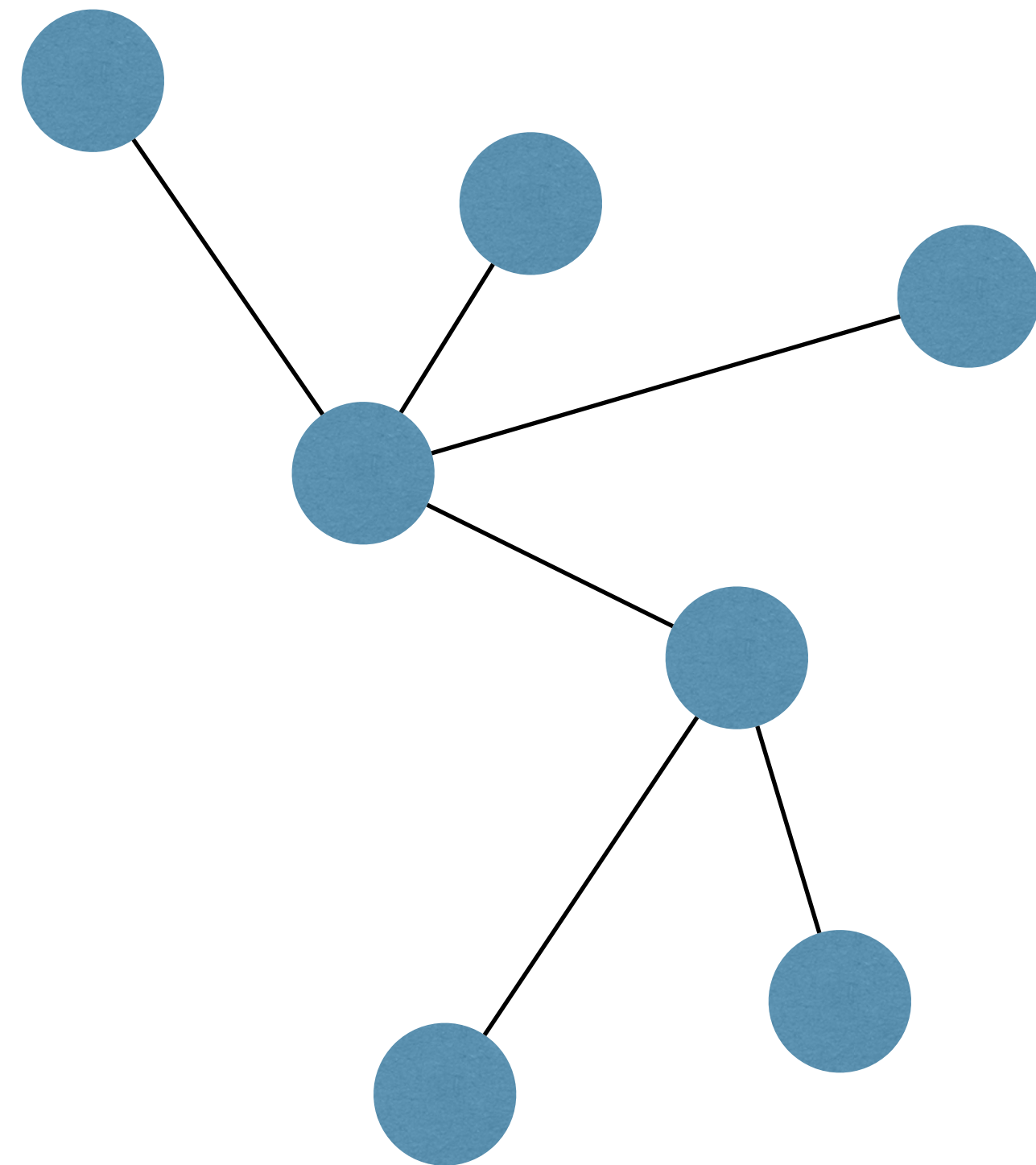


# Trees



A tree is a connected, acyclic undirected graph.

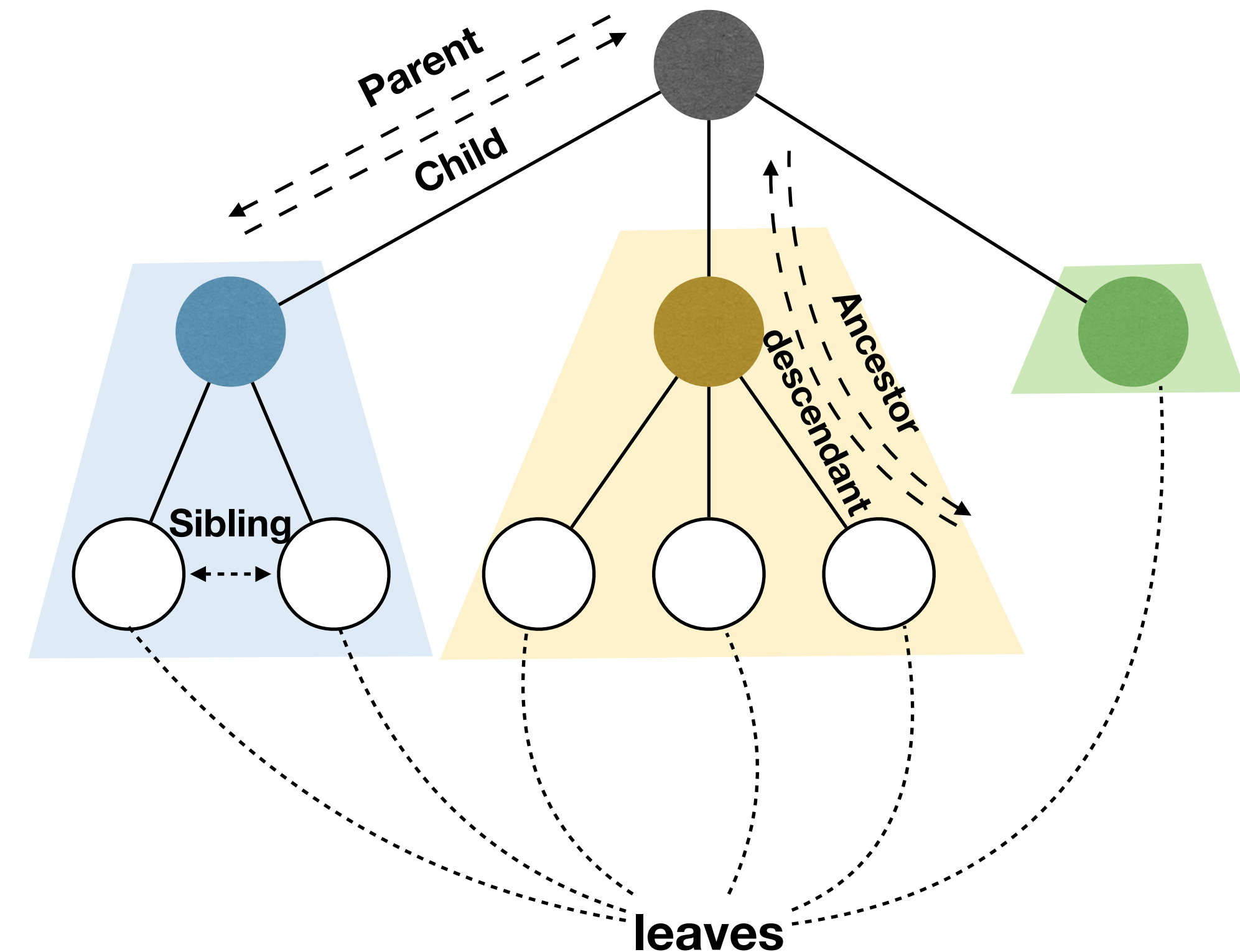
- ▶ In CS, we often study **rooted** trees





# Recursive definition of trees

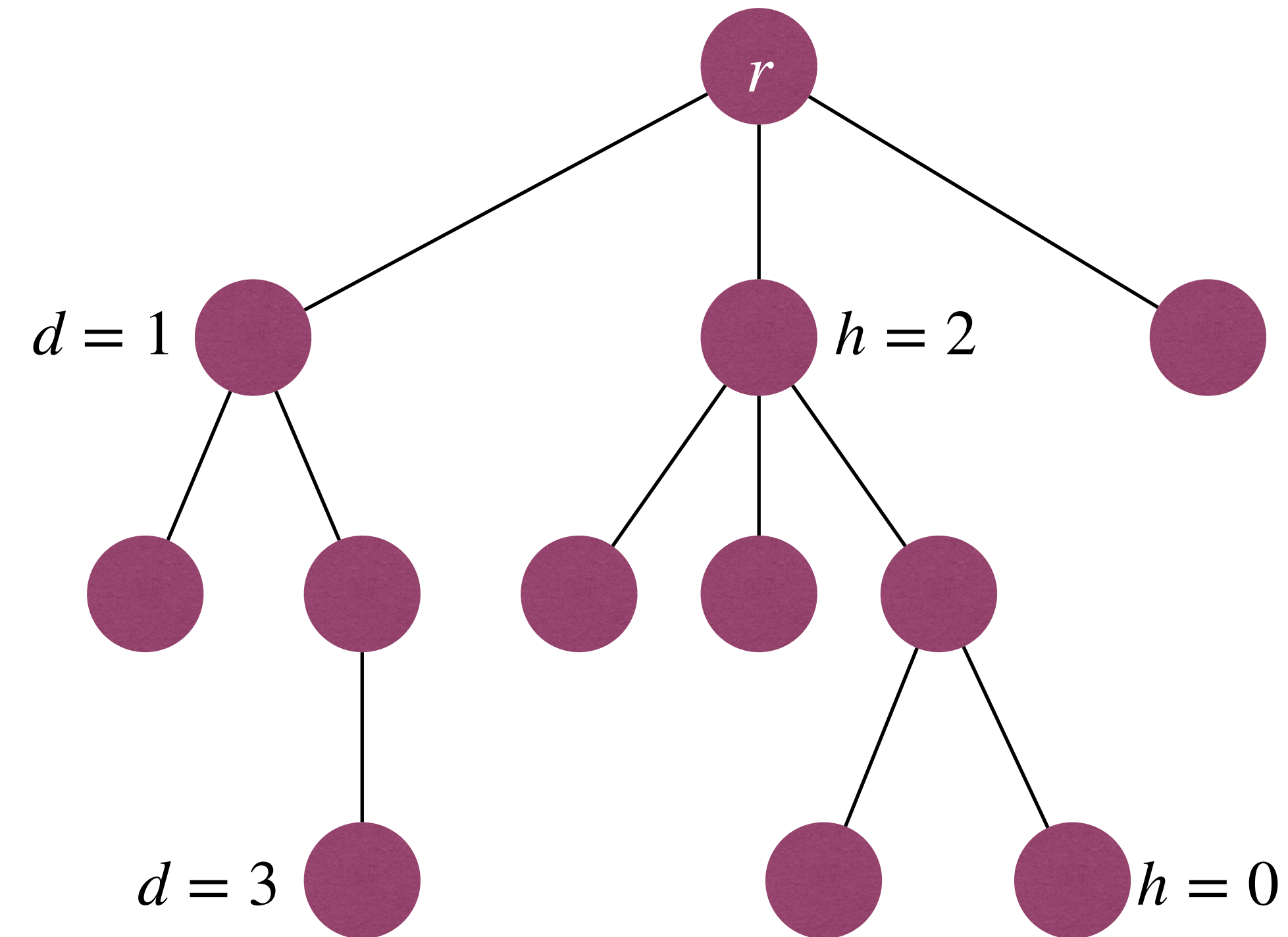
- A tree is either empty, or has a root  $r$  that connects to the roots of zero or more non-empty (sub)trees.
  - ▶ Root of each subtree is a **child** of  $r$ , and  $r$  is the **parent** of each subtree's root.
  - ▶ Nodes with no children are **leaves**.
  - ▶ Nodes with same parent are **siblings**.
  - ▶ If a node  $v$  is on the path from  $r$  to  $u$ , then  $v$  is an **ancestor** of  $u$ , and  $u$  is a **descendant** of  $v$ .





# More terminology on Trees

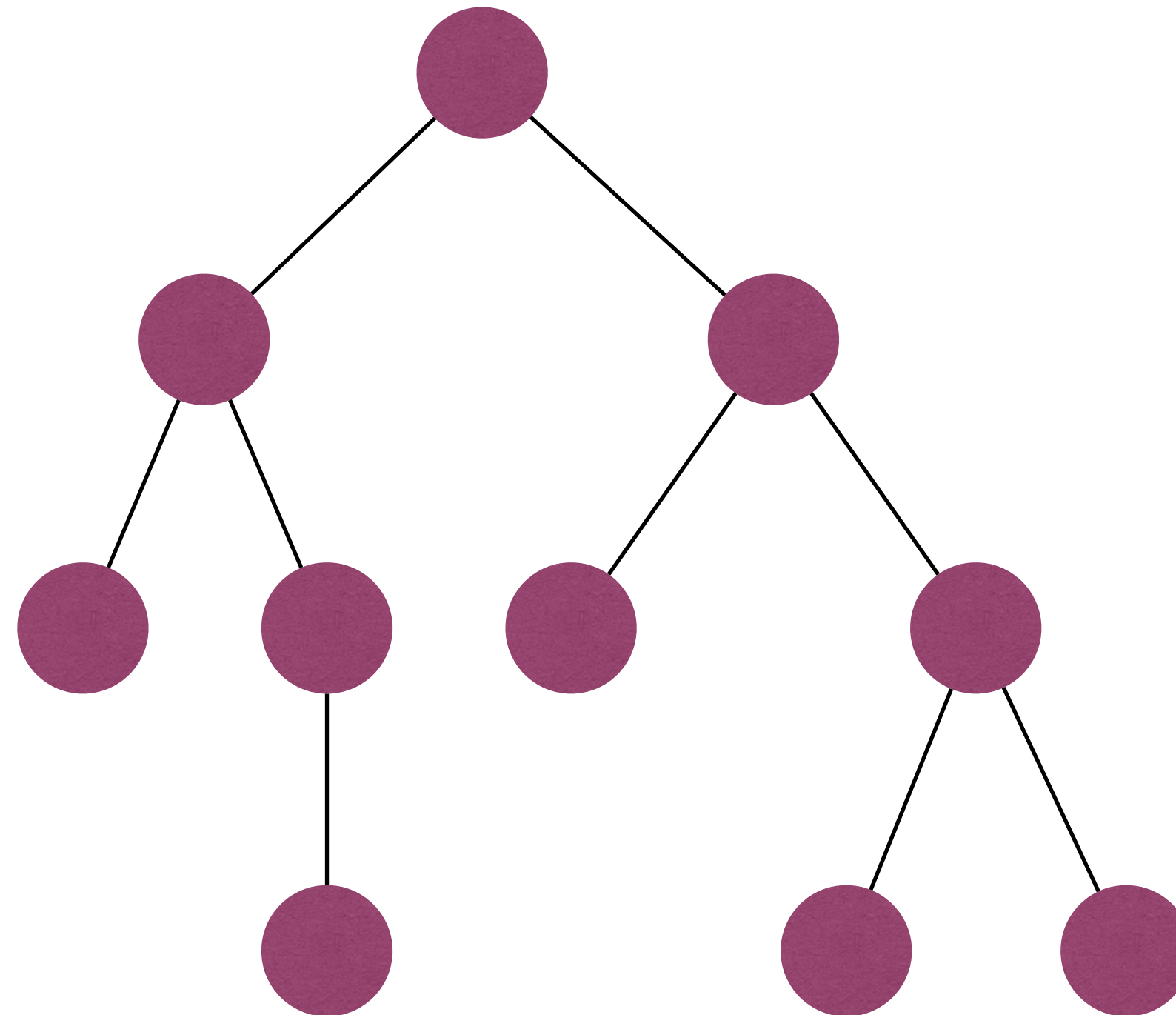
- The **depth** of a node  $u$  is the length of the path from  $u$  to the root  $r$ .
- The **height** of a node  $u$  is the length of the longest path from  $u$  to one of its **descendants**.
  - ▶ Height of a leaf node is **zero**.
  - ▶ Height of a non-leaf node is the max **height** of its children plus **one**.





# Binary Trees

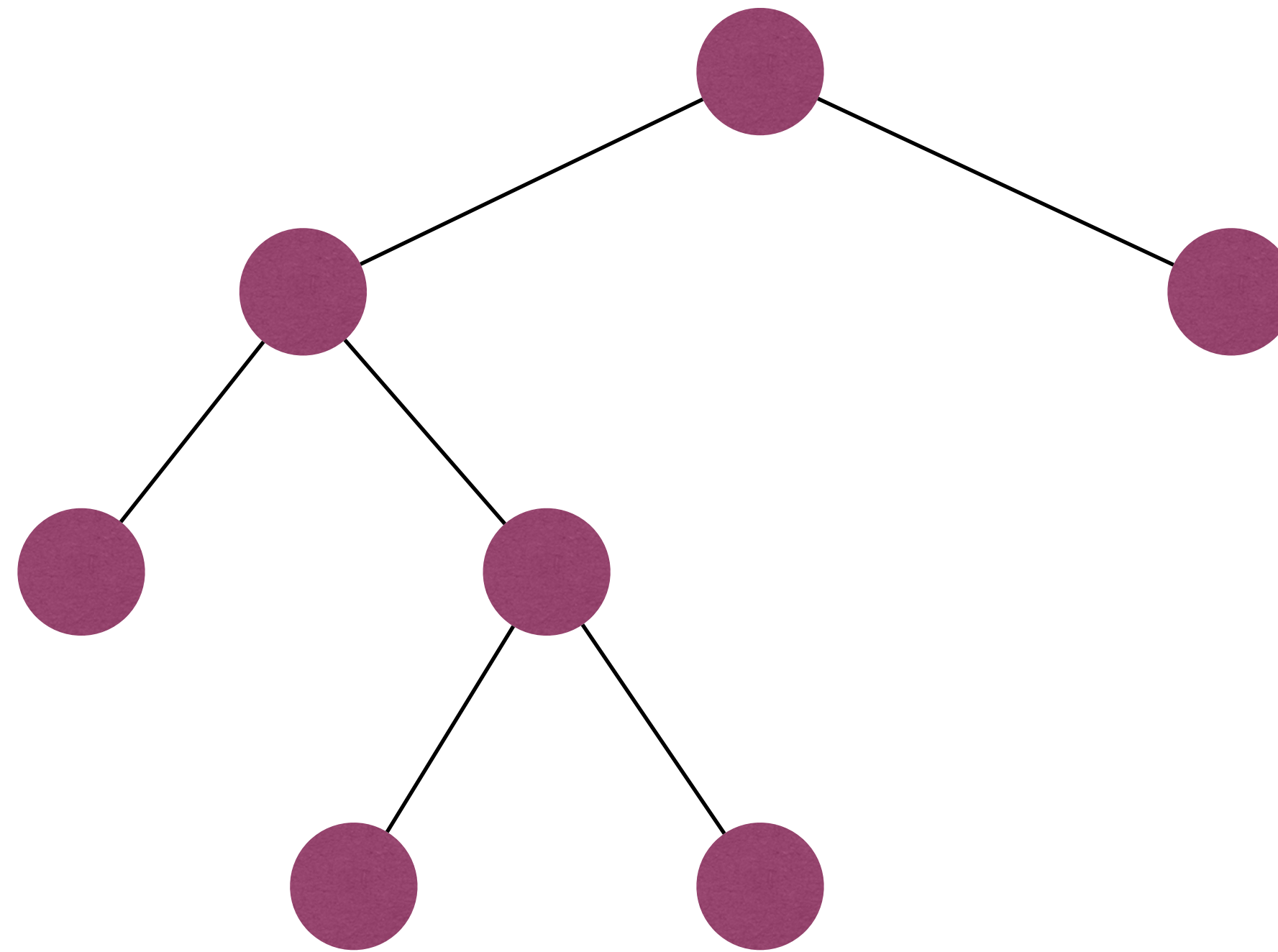
- A **binary tree** is a tree in which each node has at most two children.
  - ▶ Often call these children as **left child** and **right child**.





# Full Binary Trees

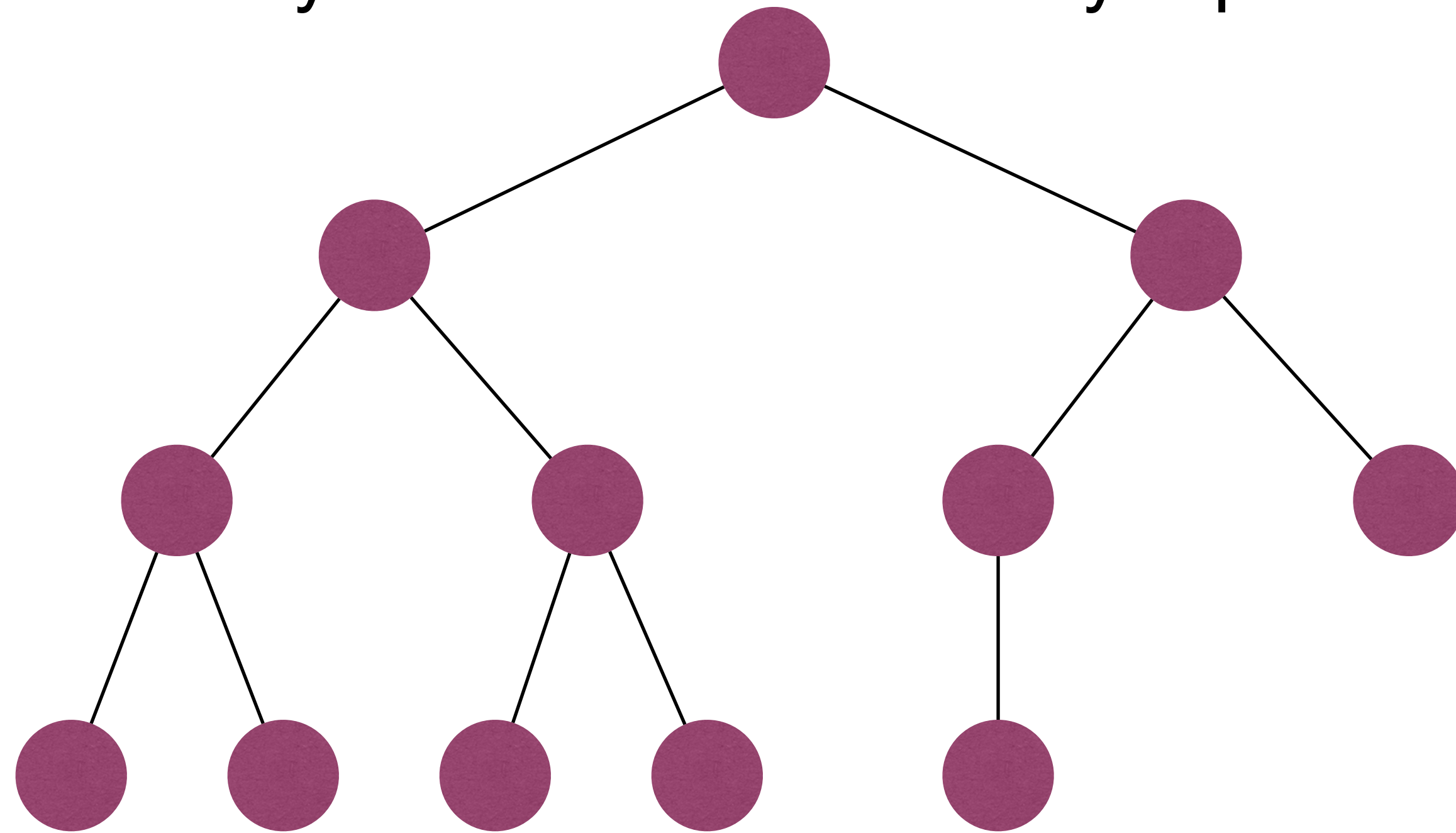
- A **full binary tree** is a binary tree where each node has either zero or two children.
  - ▶ A full binary tree is either a single node, or a tree in which the two subtrees of the root are full binary trees.





# Complete Binary Trees

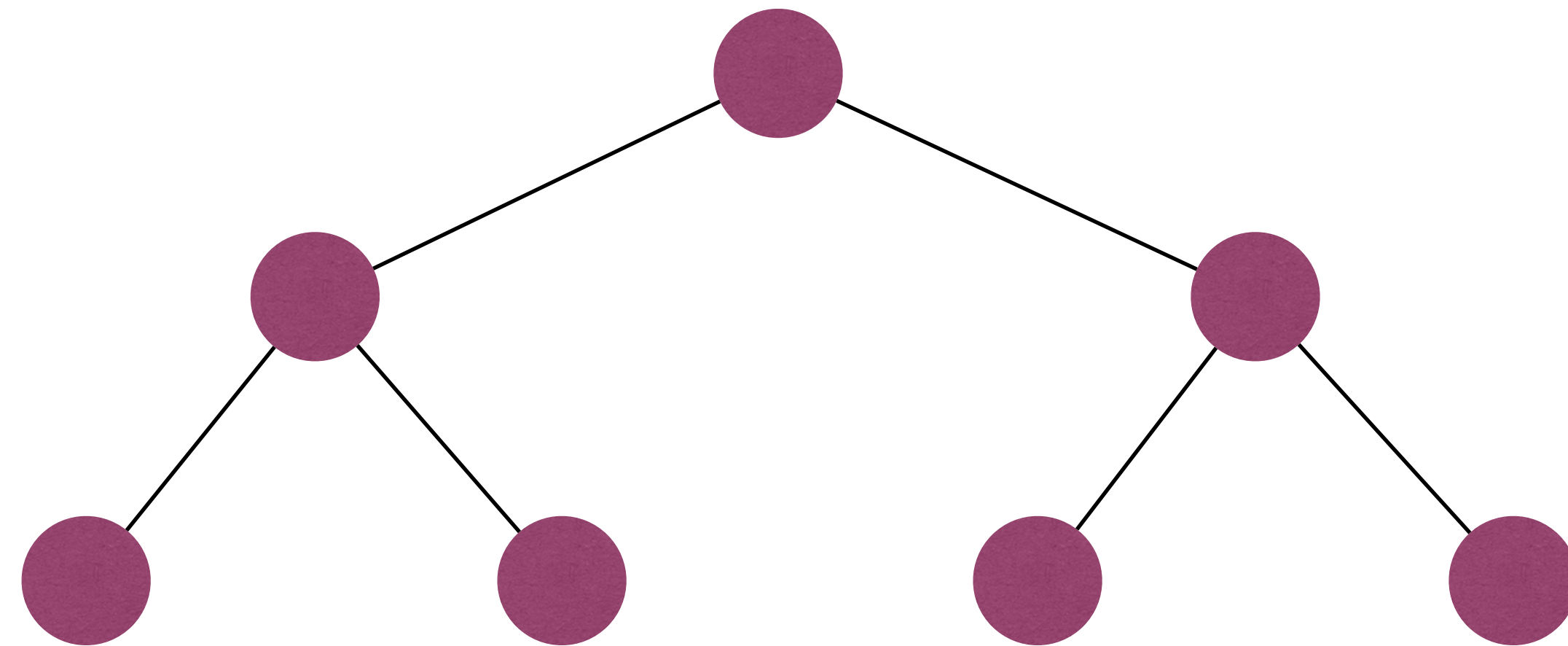
- A **complete binary tree** is a binary tree where every level, except possibly the last, is completely filled, and all nodes in the last level are as far left as possible.
  - ▶ A complete binary tree can be efficiently represented using an array.





# Perfect binary tree

- A **perfect binary tree** is a binary tree where all non-leaf nodes have two children and **all leaves have same depth**.



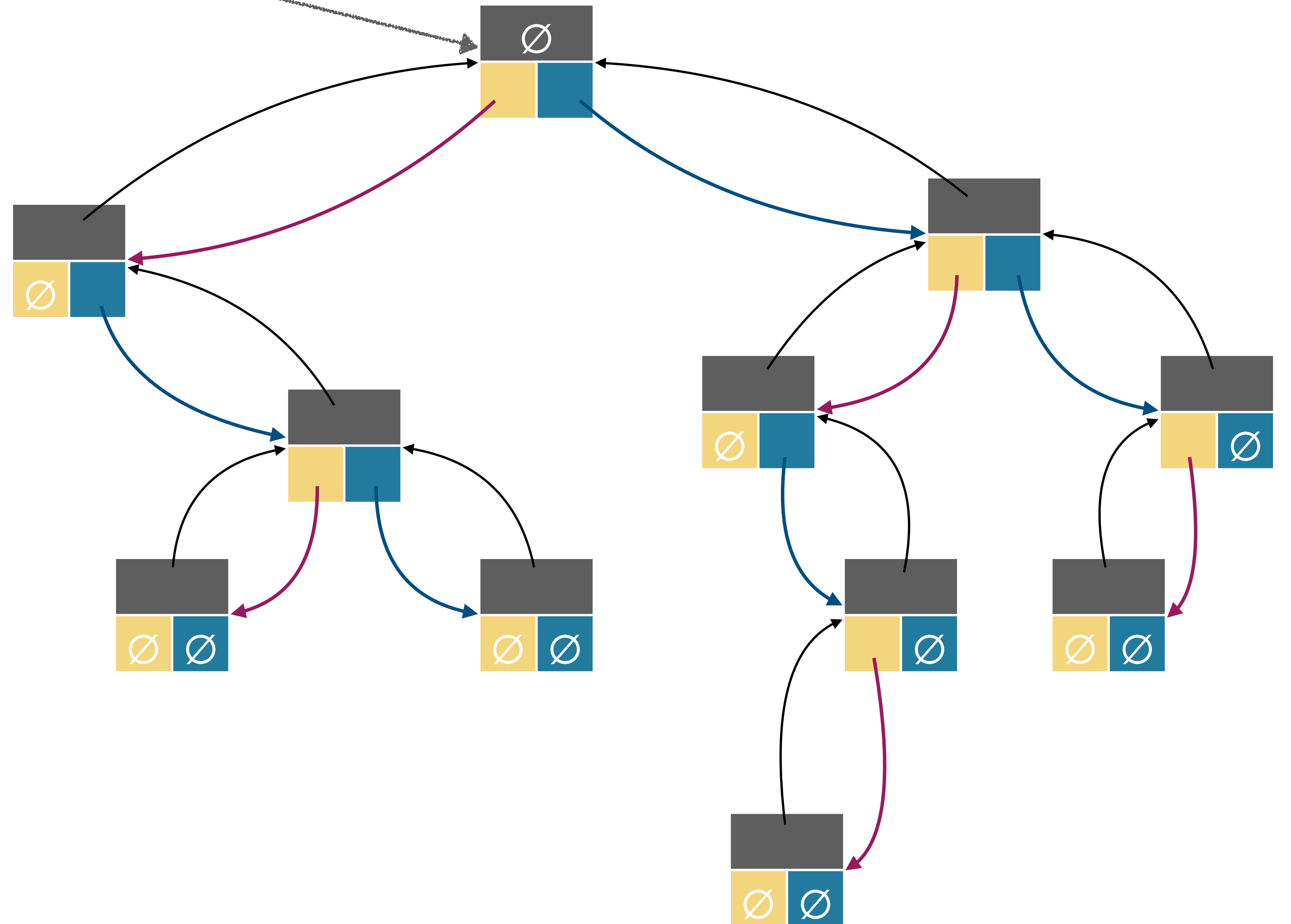




# Representing Binary Trees

```
class Node {
  Data data
  Node parent
  Node left
  Node right
}
```

Root

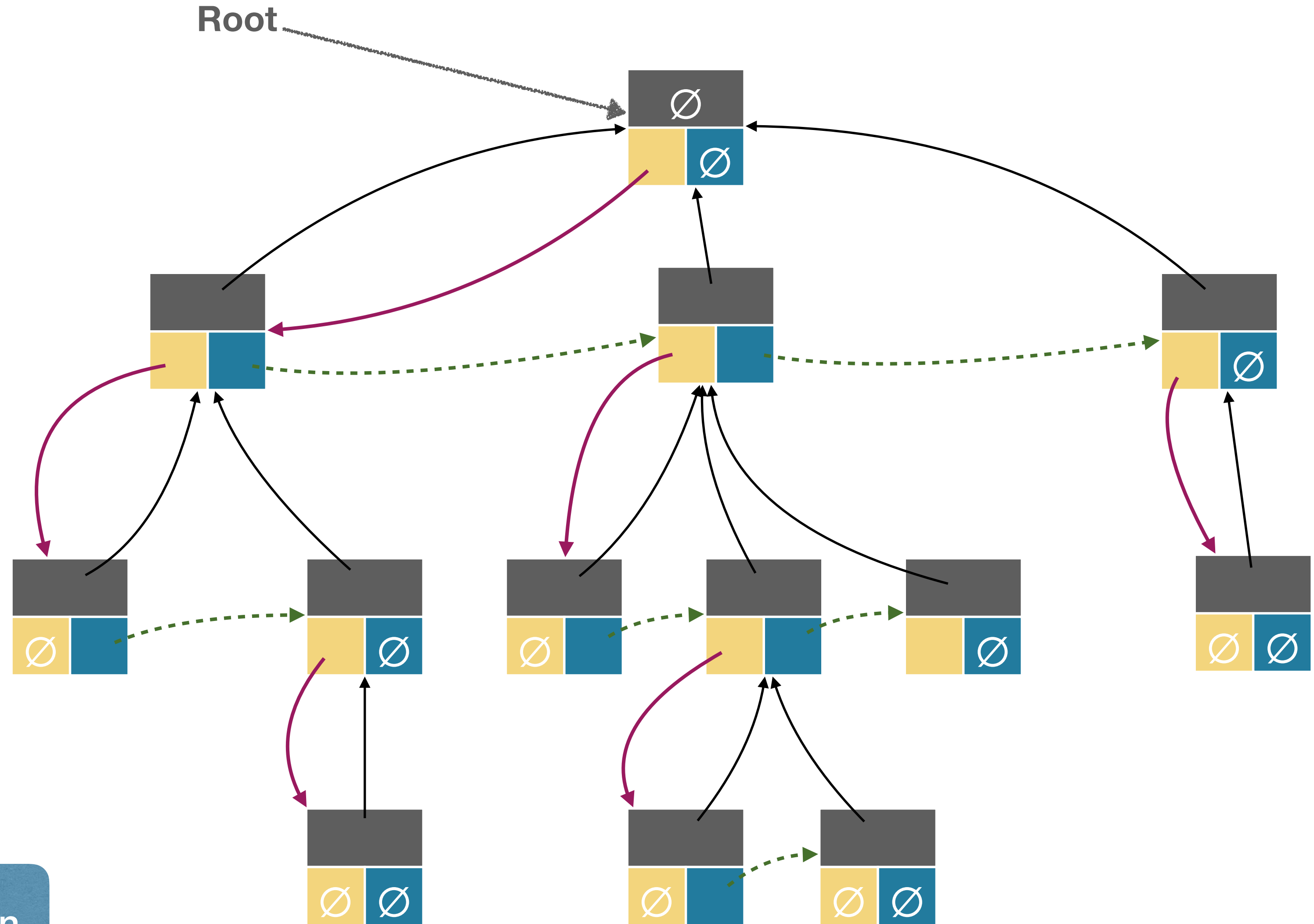


What if nodes have more children?



# Representing Binary Trees

```
class Node {
  Data data
  Node parent
  Node firstChild
  Node nextSibling
}
```



Left-child, right-sibling representation.



# Tree Traversals

- Suppose we want to visit all nodes of a tree
  - Recall the recursive definition of trees: a tree is either empty, or has a root connecting to the roots of zero or more non-empty subtrees.
- It is natural to visit the nodes in a tree recursively, but in what order?
  - **Preorder traversal**: given a tree with root  $r$ , first visit  $r$ , then visit subtrees rooted at  $r$ 's children, using preorder traversal.
  - **Postorder traversal**: given a tree with root  $r$ , first visit subtrees rooted at  $r$ 's children using postorder traversal, then visit  $r$ .
  - **Inorder traversal**: given a **binary** tree with root  $r$ , first visit subtree rooted at  $r.left$ , then visit  $r$ , finally visit subtree rooted at  $r.right$ .



# Preorder traversal

- Given a tree with root  $r$ , first visit  $r$ , then visit subtrees rooted at  $r$ 's children, using preorder traversal.

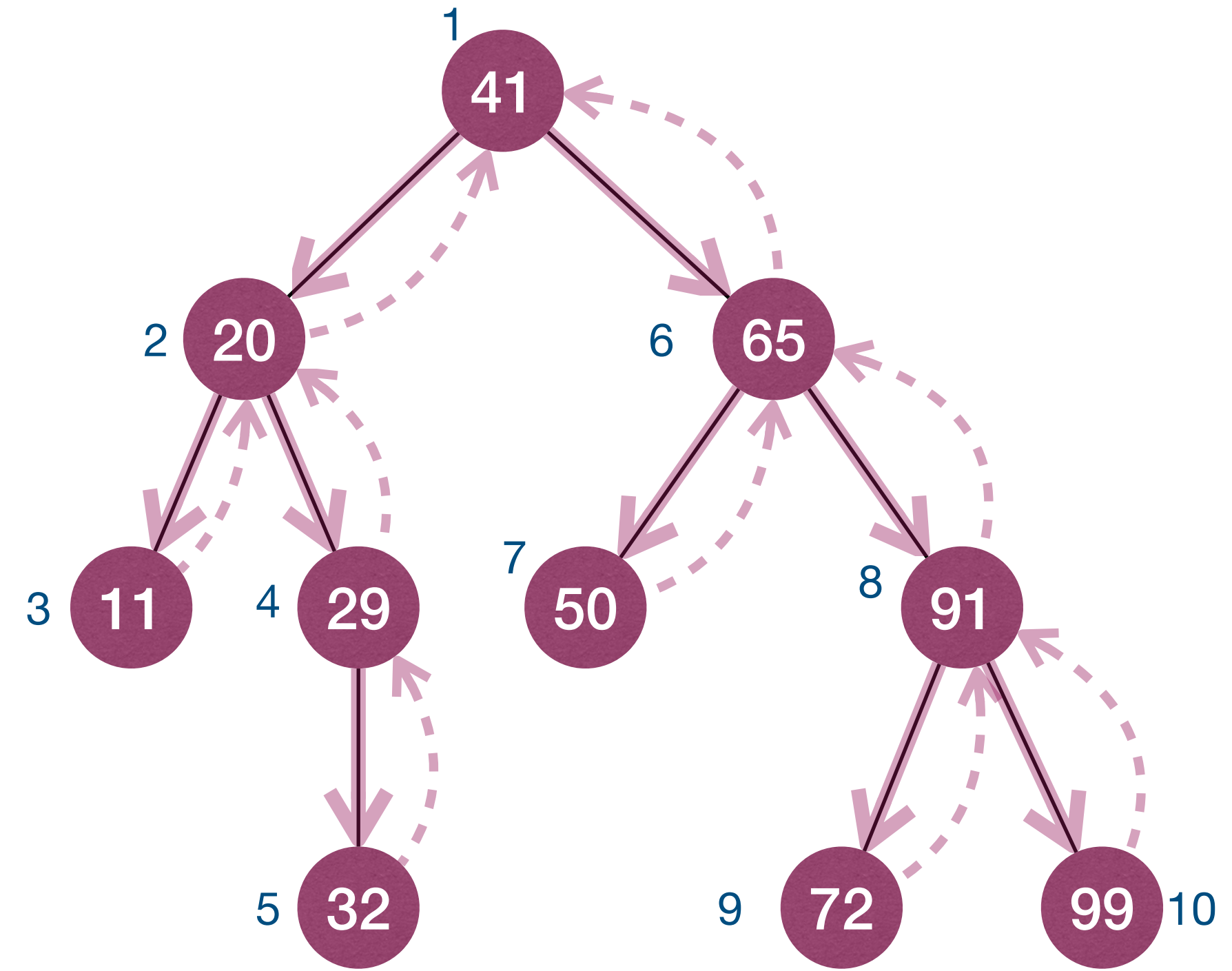
PreorderTrav( $r$ ):

**if**  $r \neq NULL$

*Visit( $r$ )*

**for each** child  $u$  **of**  $r$

*PreorderTrav( $u$ )*



41 20 11 29 32 65 50 91 72 99



# Postorder traversal

- Given a tree with root  $r$ , first visit subtrees rooted at  $r$ 's children using postorder traversal, then visit  $r$ .

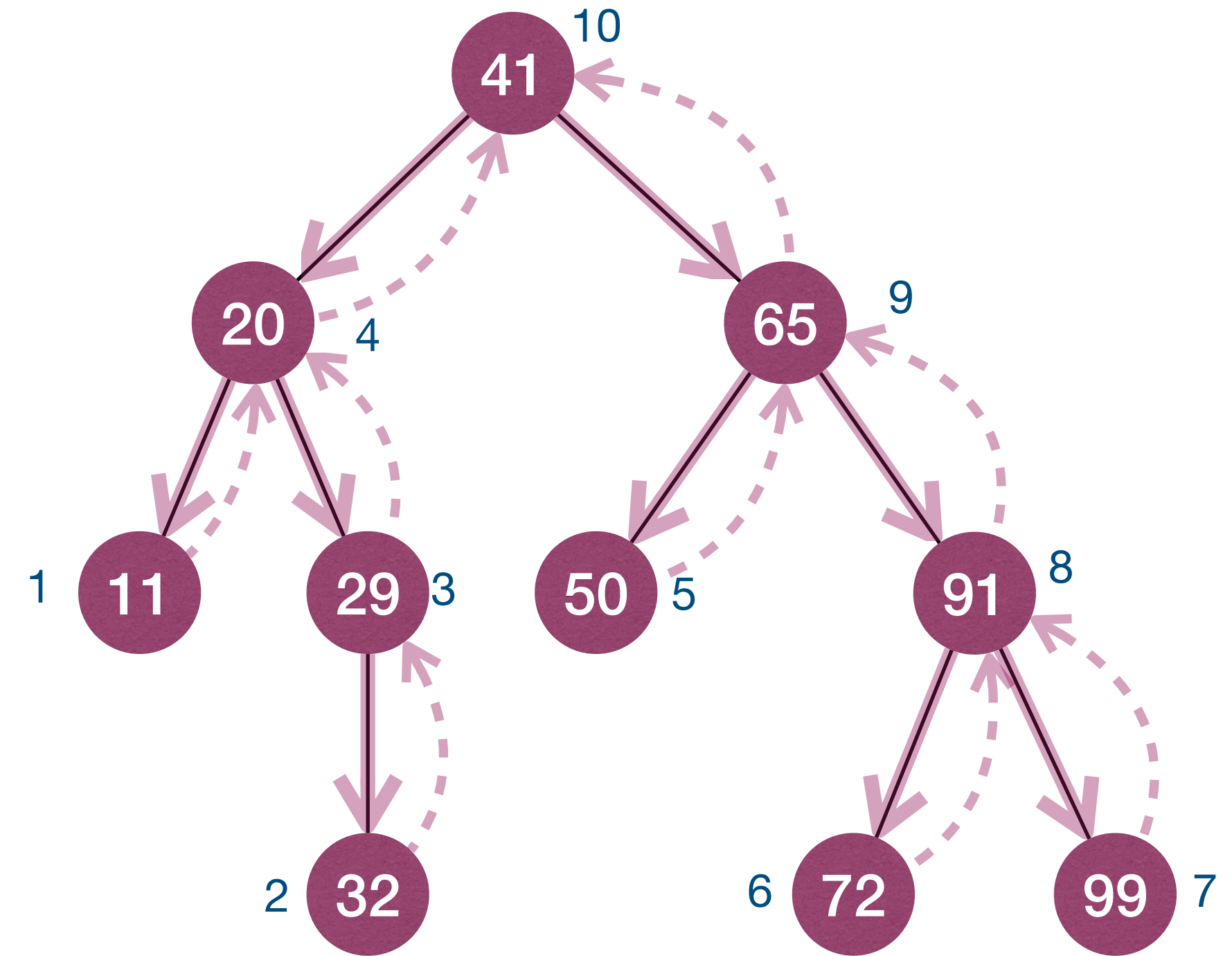
PostorderTrav( $r$ ):

**if**  $r \neq NULL$

**for each** child  $u$  **of**  $r$

*PostorderTrav*( $u$ )

*Visit*( $r$ )

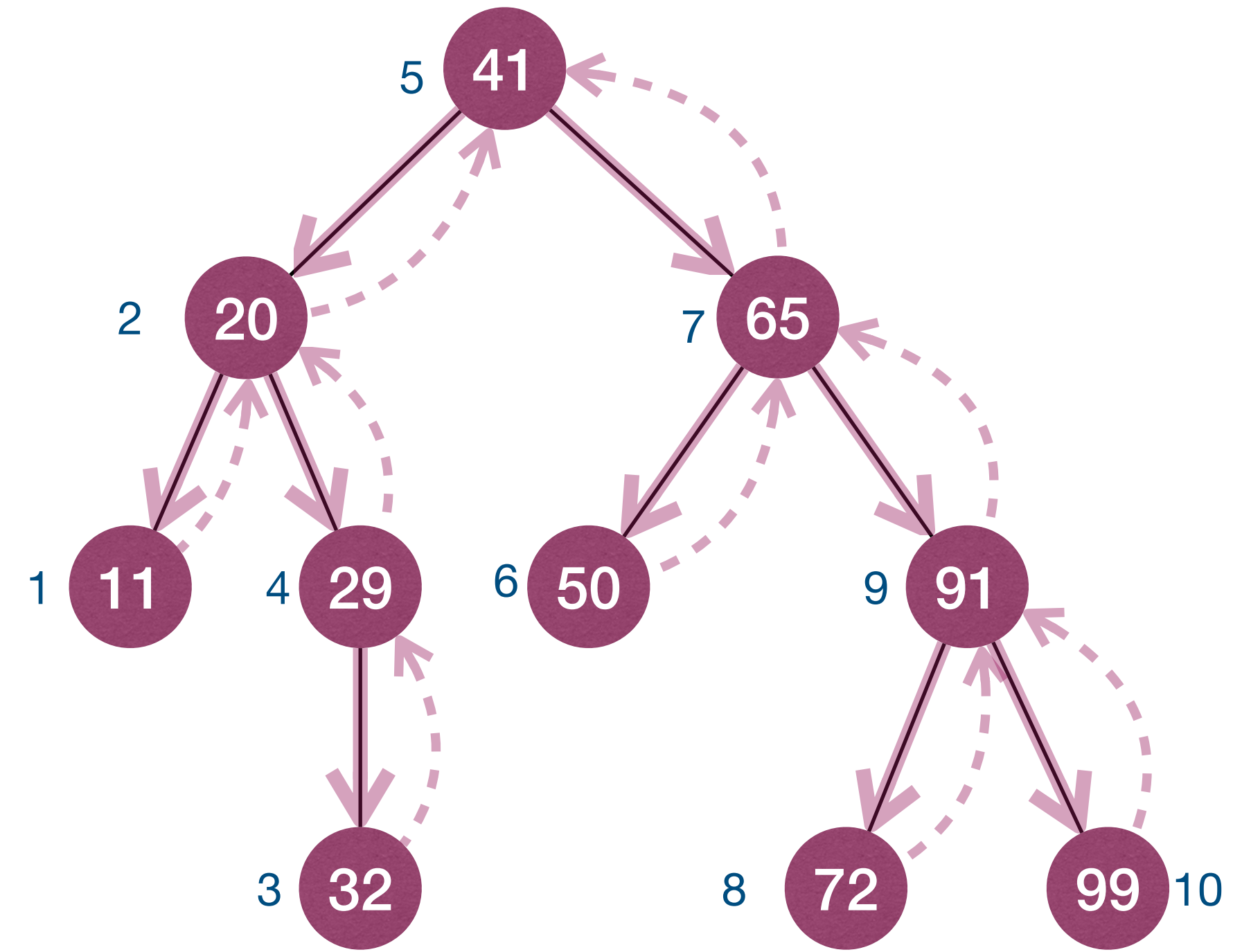


11 32 29 20 50 72 99 91 65 41



# Inorder traversal

- Given a **binary** tree with root  $r$ , first visit subtree rooted at  $r.left$ , then visit  $r$ , finally visit subtree rooted at  $r.right$ .



InorderTrav(r):

**if**  $r \neq NULL$

*InorderTrav(r.left)*

*Visit(r)*

*InorderTrav(r.right)*

11 20 32 29 41 50 65 72 91 99



# Complexity of recursive traversal

## PreorderTrav(r):

```
if  $r \neq NULL$   
    Visit( $r$ )  
    for each child  $u$  of  $r$   
        PreorderTrav( $u$ )
```

## PostorderTrav(r):

```
if  $r \neq NULL$   
    for each child  $u$  of  $r$   
        PostorderTrav( $u$ )  
    Visit( $r$ )
```

## InorderTrav(r):

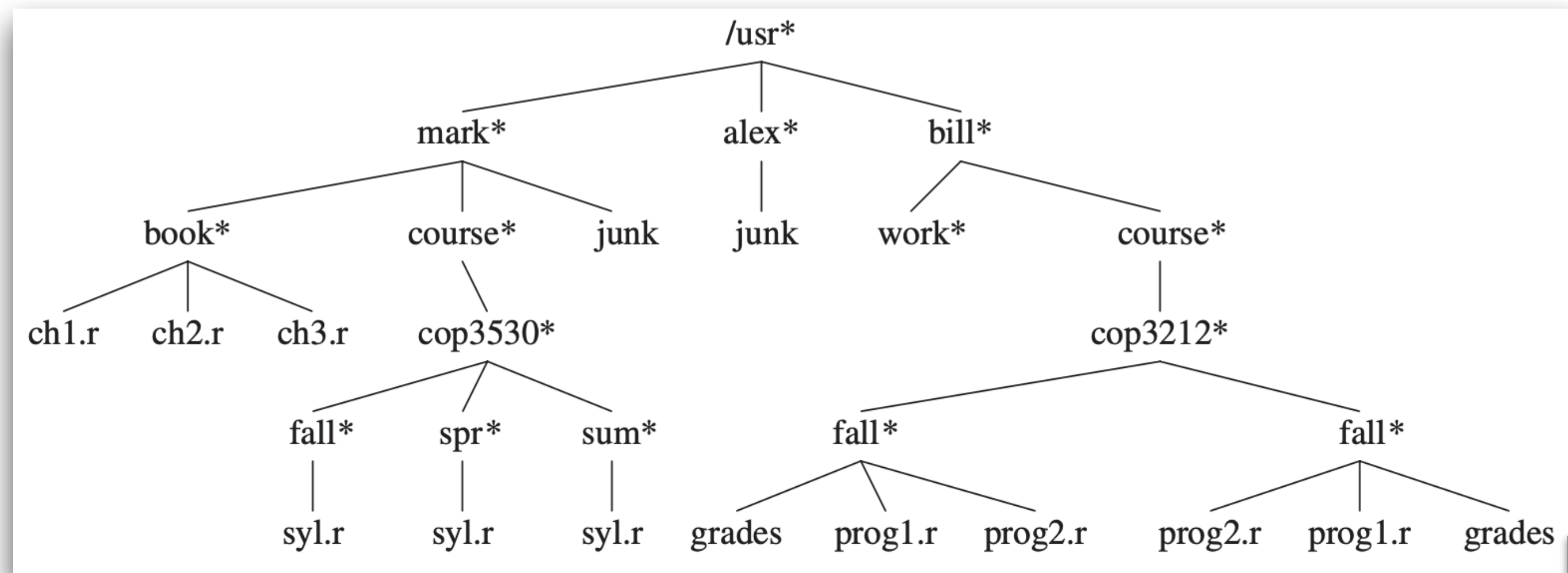
```
if  $r \neq NULL$   
    InorderTrav( $r.left$ )  
    Visit( $r$ )  
    InorderTrav( $r.right$ )
```

- Time complexity for a size  $n$  tree?
  - $\Theta(n)$  as processing each node takes  $\Theta(1)$ .
- Space complexity for a size  $n$  tree?
  - $O(n)$  as worst-case **call stack** depth is  $\Theta(n)$ .



# Sample application of preorder traversal

- Directory Listing



ListDir(obj, depth):

**if** *obj*  $\neq$  *NULL*

*PrintName(obj, depth)*

**if** *IsDirectory(obj)*

**for each** *subobj* **in** *obj*

*ListDir(subobj, depth + 1)*

```

/usr
  mark
    book
      ch1.r
      ch2.r
      ch3.r
    course
      cop3530
        fall
          syl.r
        spr
          syl.r
        sum
          syl.r
    junk
  alex
    junk
  bill
    work
    course
      cop3212
        fall
          grades
          prog1.r
          prog2.r
        fall
          prog2.r
          prog1.r
          grades
  
```





# Iterative tree traversal

- Basic idea: simulate the recursive process with the help of a stack.

## PreorderTrav(r):

```

if  $r \neq \text{NULL}$ 
    Visit( $r$ )
    for each child  $u$  of  $r$ 
        PreorderTrav( $u$ )
    
```

## class Frame {

**Node**  $node$

**bool**  $visit$

$Frame(\text{Node } n, \text{bool } v)$  {

$node := n$

$visit := v$

}

}

Visit node or the subtree rooted at node.

## PreorderTravIter(r):

**Stack**  $s$

$s.push(Frame(r, false))$

**while**  $!s.empty()$

$f = s.pop()$

**if**  $f.node \neq \text{NULL}$

**if**  $f.visit$

Visit( $f.node$ )

**else**

**for each** child  $u$  **of**  $f.node$

$s.push(Frame(u, false))$

$s.push(Frame(f.node, true))$

Exchange for postorder traversal

What about inorder traversal?



# Iterative inorder tree traversal

## InorderTravIter(r):

Stack *s*

```
s.push(Frame(r, false))
```

```
while !s.empty()
```

```
    f = s.pop()
```

```
    if f.node != NULL
```

```
        if f.visit
```

```
            Visit(f.node)
```

```
        else
```

```
            s.push(Frame(f.node.right, false))
```

```
            s.push(Frame(f.node, true))
```

```
            s.push(Frame(f.node.left, false))
```

- What is the time complexity?

- ▶  $\Theta(n)$

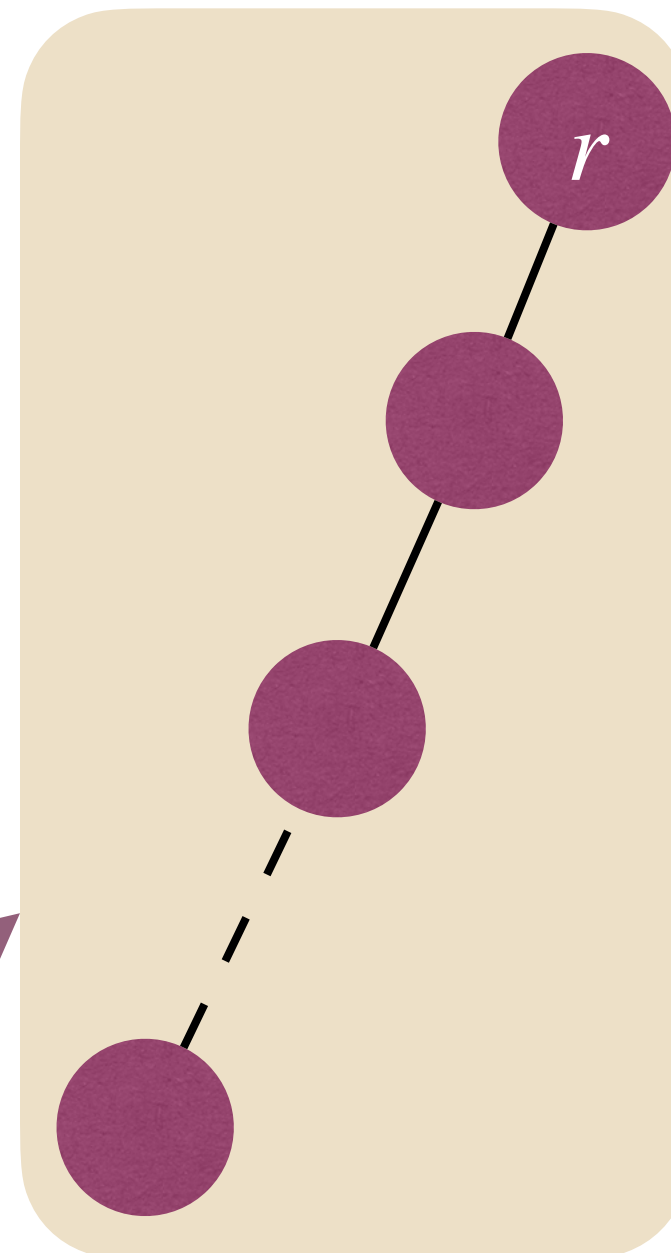
- What is the space complexity?

- ▶  $O(n)$

- When do we need  $\Theta(n)$  space?

- Can we have better space complexity?

- ▶ \*Morris inorder tree traversal





# Level-order traversal of trees

- A special kind of traversal is **breadth-first traversal**. (Previous methods are all **depth-first** traversal.)
  - ▶ In a breadth-first traversal, the nodes are visited level-by-level starting at the root and moving down, visiting the nodes at each level from left to right.

## LevelorderTrav(r):

**if**  $r \neq \text{NULL}$

**Queue**  $q$

$q.add(r)$

**while**  $!q.empty()$

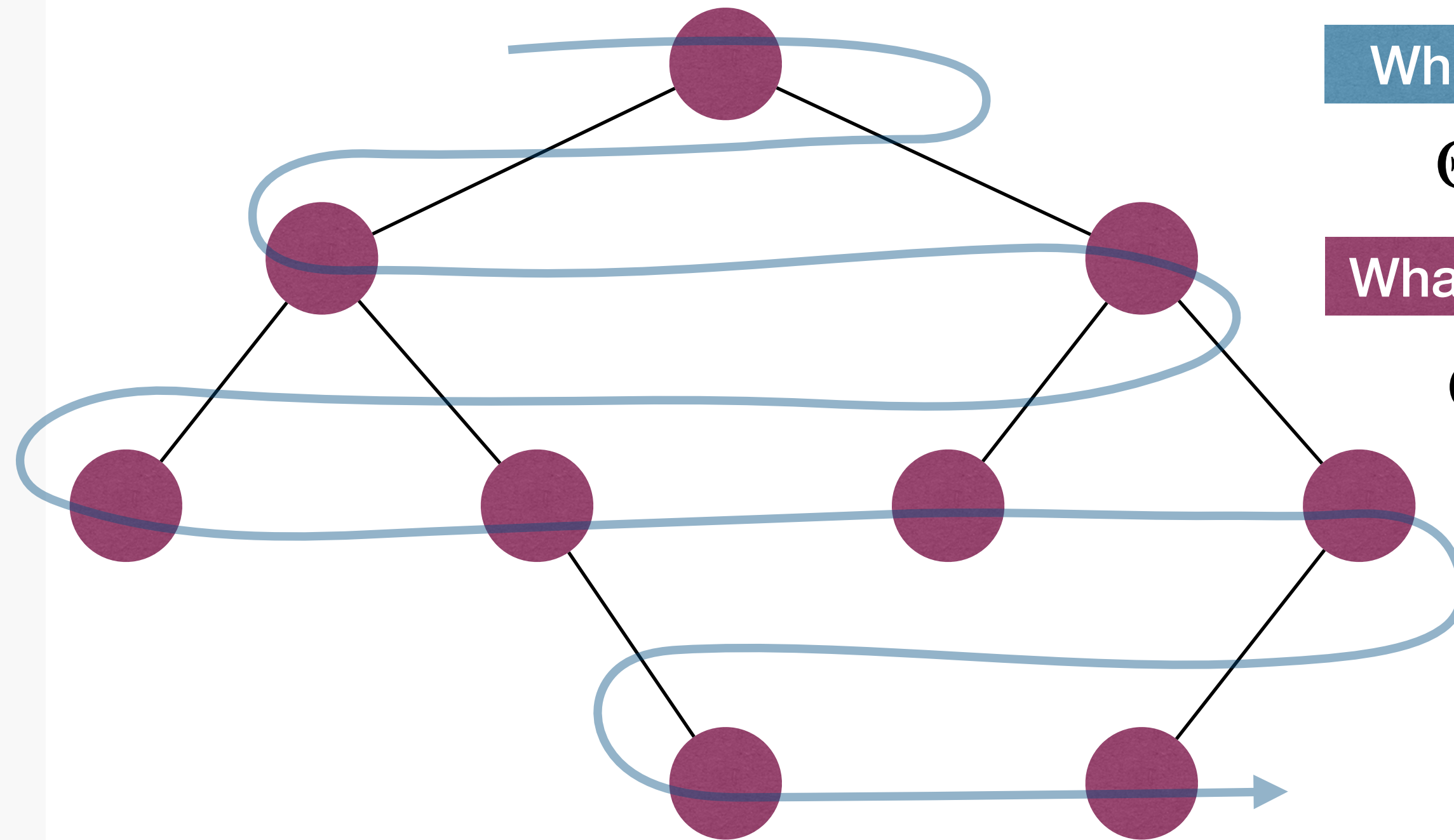
$node := q.remove()$

**if**  $node \neq \text{NULL}$

$Visit(node)$

$q.add(node.left)$

$q.add(node.right)$



What is the time complexity?

$\Theta(n)$

What is the space complexity?

$\Theta(n)$  in the worst-case



# Further reading

- [CLRS] Ch.10 (10.4)
- [Weiss] Ch.4 (4.1-4.2)
- [Morin] Ch.6 (6.1)

